

# Galois Theory - 6CCM326A

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March 28, 2015

# Chapter 1

## Ring Theory Review

**Definition 1.1.** A *commutative ring with 1* is a triple  $(R, +, \times)$  comprising of a set  $R$  equipped with two binary operations, addition  $+$  and multiplication  $\times$  satisfying the following axioms:

1.  $(R, +)$  is an abelian group
2. Multiplication is associative
3. Multiplication distributes over addition
4. Multiplication is commutative
5. There exists  $1_R \in R$  such that  $1_R \times r = r \times 1_R = r$  for all  $r \in R$

**Remark.** A normal ring does not require conditions 4 nor 5. We will refer to a commutative ring with 1 simply by ring henceforth.

**Proposition 1.2.** Consider an arbitrary ring  $R$ . Then there is a unique identity in  $R$ .

*Proof.* Let  $e_1 \neq e_2 \in R$  be two distinct identities. By definition of a ring identity, we have that  $e_1 r = r e_1 = r$  and  $e_2 r = r e_2 = r$  for all  $r \in R$ .

We thus have  $e_1 e_2 = e_2 e_1 = e_1$  and  $e_2 e_1 = e_2 e_1 = e_2$ . But this means that  $e_1 = e_2$  which is a contradiction. Hence  $R$  has a unique identity.  $\square$

**Example 1.3.** Typical examples of rings are  $\mathbb{Z}, \mathbb{Q}, \mathbb{C}$  all equipped with their usual addition and multiplication.

**Example 1.4.** Let  $n \in \mathbb{N}$ , we define the ring  $\mathbb{Z}/n\mathbb{Z}$  of **integers modulo  $n$**  as follows:

We first define an equivalence relation  $\sim$  on  $\mathbb{Z}$  by

$$a \sim b \text{ if } a \equiv b \pmod{n}$$

Then elements of  $\mathbb{Z}/n\mathbb{Z}$  are the equivalence classes under this equivalence relation:

$$[a] = \{b \in \mathbb{Z} \mid a \equiv b \pmod{n}\}$$

Addition and multiplication is defined as  $[a] + [b] = [a + b]$  and  $[a][b] = [ab]$  respectively.

**Definition 1.5.** Let  $R$  be a ring and  $X$  an indeterminate. We define the **ring of polynomials in  $X$  over  $R$**   $R[X]$  to be

$$R[X] = \{c_0 + c_1X + c_2X^2 + \cdots + c_nX^n \mid c_i \in R \forall 0 \leq i \leq n\}$$

We define addition and multiplication on  $R[X]$  as follows

$$\begin{aligned} \left(\sum_i c_i X^i\right) + \left(\sum_i c'_i X^i\right) &= \sum_i (c_i + c'_i) X^i \\ \left(\sum_i c_i X^i\right) \times \left(\sum_i c'_i X^i\right) &= \sum_r \left(\sum_{i+j=r} c_i c'_j\right) X^r \end{aligned}$$

**Remark.**

1. We omit  $c_i X^i$  when  $c_i = 0$
2. We write  $c_i X^i$  as  $X^i$  when  $c_i = 1_R$
3. It is easily seen that  $R$  is a subset of  $R[X]$  when considering the map  $r \mapsto r + 0X + 0X^2 + \dots$
4. If  $Y$  is any other indeterminate then we have that  $(R[X])[Y] = R[X][Y] = (R[Y])[X]$

**Definition 1.6.** Let  $R[X]$  be a polynomial ring and  $f \in R[X]$  an arbitrary polynomial. We define the **degree** of  $f$  to be

$$\deg(f) = \begin{cases} \max\{i \mid c_i \neq 0\} & \text{if } \exists j \text{ s.t. } c_j \neq 0_R \\ -\infty & \text{if otherwise} \end{cases}$$

**Definition 1.7.** Let  $(R, +_R, \times_R)$  and  $(S, +_S, \times_S)$  be two rings. We define a **ring homomorphism** to be a function  $f : R \rightarrow S$  such that for all  $r_1, r_2 \in R$

1.  $f(r_1 +_R r_2) = f(r_1) +_S f(r_2)$
2.  $f(r_1 \times_R r_2) = f(r_1) \times_S f(r_2)$
3.  $f(1_R) = 1_S$

**Definition 1.8.** Let  $(R, +, \times)$  be a ring. We say that a ring  $(S, +_S, \times_S)$  is a **subring** of  $R$  if

1.  $S \subseteq R$
2.  $+_S|_{S \times S} = +_R$
3.  $\times_S|_{S \times S} = \times_R$

**Proposition 1.9.** Let  $R$  be a ring and  $S \subseteq R$  a subring. Then  $1_S = 1_R$ .

*Proof.* Consider  $s \in S \subseteq R$ . We have, by definition, that  $s \times_S 1_S = 1_S \times_S s = s$ . Since  $S$  is a subring of  $R$ , we therefore have that  $s \times_R 1_S = 1_S \times_R s = s$ . Now, since  $R$  is a ring, we can also see that  $s \times_R 1_R = 1_R \times_R s = s$ . From the two previous results, we have that  $s \times_R 1_S = s \times_R 1_R$ . Multiplying on the left by  $s^{-1}$  we can see that  $1_S = 1_R$ .  $\square$

**Definition 1.10.** Let  $R$  be a ring. We say that a subset  $I \subseteq R$  is an **ideal** of  $R$  if

1.  $(I, +)$  is a subgroup of  $(R, +)$
2.  $i \in I, r \in R$  then  $ri \in I$

We will denote an ideal by  $I \triangleleft R$ . We say that for  $r \in R$ ,  $(r) = \{xr \mid x \in R\}$  is the **ideal generated by  $r$** .

**Definition 1.11.** Let  $R$  be a ring and  $I \triangleleft R$  an ideal. We say that  $I$  is a **principal ideal** if there exists an element  $r \in R$  such that  $I = (r)$ .

**Definition 1.12.** Let  $R$  be a ring and  $I \triangleleft R$  an ideal. We define the **quotient ring**  $(R/I, +_I, \times_I)$  as follows:

We take the quotient of  $(R, +)$  by  $(I, +)$  to get the group  $(R/I, +_I)$  where

$$R/I = \{\text{cosets of } I \text{ in } (R, +)\} = \{[r]_I \mid r \in R\}$$

and if  $r_1, r_2 \in R$  then

$$[r_1]_I +_I [r_2]_I = [r_1 + r_2]_I$$

The multiplication in  $R$  induces a multiplicative structure on  $R/I$ . If  $r_1, r_2 \in R$  then

$$[r_1]_I \times_I [r_2]_I = [r_1 \times r_2]$$

**Example 1.13.** Let  $n \in \mathbb{Z}$ . Then the ring  $\mathbb{Z}/n\mathbb{Z}$  is a quotient ring.

**Definition 1.14.** Let  $r_1$  and  $r_2$  be elements of a ring  $R$ . We say that  $r_1 \neq 0$  **divides**  $r_2$  if there exists  $r_3 \in R$  such that  $r_2 = r_1 r_3$ . Equivalently,  $r_1$  divides  $r_2$  if  $(r_2) \subseteq (r_1)$ . We denote this by  $r_1 \mid r_2$ .

**Definition 1.15.** Let  $r$  be an element of a ring  $R$ . We say that  $r$  is a **unit** if  $r \mid 1$ . Equivalently,  $r$  is a unit if the ideal generated by  $r$  is the ring  $R$ . We also define the set

$$R^\times = \{r \in R \mid r \text{ is a unit}\}$$

to be the set of units of  $R$ .

**Remark.** Given a ring  $R$ , it is easy to see that  $R^\times$  is a group under multiplication with identity  $1_R$ .

**Definition 1.16.** Let  $r$  be a non-zero element of a ring  $R$ . We say that  $r$  is a **zero divisor** if there is a non-zero  $s \in R$  such that  $rs = 0$ .

**Definition 1.17.** A ring  $R$  is called a **field** if  $R^\times = R - \{0\}$

**Definition 1.18.** A ring  $R$  is called an **integral domain** if it does not contain any zero divisors.

**Definition 1.19.** Let  $R$  be a ring. We define a homomorphism from the integers to  $R$  by

$$f_R : \mathbb{Z} \rightarrow R$$

$$f_R(n) = \begin{cases} \underbrace{1_R + \cdots + 1_R}_{n \text{ times}} & \text{if } n > 0 \\ -\underbrace{(1_R + \cdots + 1_R)}_{n \text{ times}} & \text{if } n < 0 \\ 0 & \text{if } n = 0 \end{cases}$$

This is known as the **characteristic homomorphism**. We define the **characteristic of a ring  $R$**  to be the unique non-negative integer  $n$  such that  $\ker(f_R) = (n)$ .

**Proposition 1.20.** Let  $R$  be an integral domain. Then the characteristic of  $R$  is either 0 or a prime number.

*Proof.* Since  $R$  is an integral domain we have, by definition, that  $R$  has no zero-divisors. Now suppose that the characteristic  $n$  of  $R$  is composite. By definition of the characteristic of a ring we know that  $f_R(n) = 0$ . Now since  $n$  is composite, it must factor into some  $a, b \in \mathbb{N}$ . Since  $f_R$  is a ring-homomorphism (by construction) we have that

$$\begin{aligned} f_R(n) &= 0 \\ \implies f_R(ab) &= 0 \\ \implies f_R(a)f_R(b) &= 0 \end{aligned}$$

We have found zero-divisors  $f_R(a), f_R(b) \in R$  which is obviously a contradiction to the assumption that  $R$  is an integral domain. Hence  $n$  cannot be composite and is either 0 or a prime.  $\square$

**Definition 1.21.** Let  $I \triangleleft R$  be an ideal of a ring  $R$ . We say that  $I$  is a **prime ideal** if  $I \neq R$  and if for all  $r_1, r_2 \in R$

$$r_1 r_2 \in I \implies r_1 \in I \text{ or } r_2 \in I$$

An element  $r \in R$  is called a **prime element** if the ideal  $(r)$  is a prime ideal.

We can equivalently define a prime element  $r$  if  $r \notin R^\times$  and if for all  $r_1, r_2 \in R$

$$r|(r_1 r_2) \implies r|r_1 \text{ or } r|r_2$$

**Definition 1.22.** An element  $r \notin R^\times$  of a ring  $R$  is called **irreducible** if for all  $r_1 \in R$

$$r_1|r \implies r_1 \in R^\times$$

**Proposition 1.23.** Let  $R$  be an integral domain. Then every prime element in  $R$  is irreducible.

*Proof.* Suppose  $R$  is an integral domain and suppose that a prime element  $p$  is reducible. By definition we have that  $p = ab$  for some  $a, b \in R$ . Obviously,  $p$  divides  $ab$  and since  $p$  is a prime element we know, by definition, that either  $p$  divides  $a$  or  $p$  divides  $b$ . Suppose, without loss of generality, that  $p$  divides  $a$ . By definition of divisibility we have that  $a = pk$  for some  $k \in R$ . Inserting this into  $p = ab$ , we have that

$$\begin{aligned} p &= ab \\ \implies p &= pkb \\ \implies p - pkb &= 0 \\ \implies p(1 - kb) &= 0 \end{aligned}$$

Since  $R$  is an integral domain, we know that  $R$  has no zero divisors. Hence either  $p = 0$  or  $1 - kb = 0$ .

If  $p = 0$  then  $p$  is irreducible and we are done so assume that  $1 - kb = 0$ . It follows that  $1 = kb$  and hence both  $k$  and  $b$  must be units. However this contradicts the assumption that  $p$  is reducible as we require both  $a$  and  $b$  to be non-unitary factors of  $p$ . Hence  $p$  must be irreducible.  $\square$

**Definition 1.24.** A ring  $R$  is called a **unique factorisation domain** if it is an integral domain and if every non-zero element can be uniquely written as a product of irreducible elements.

**Proposition 1.25.** Let  $R$  be a unique factorisation domain. Then every irreducible element of  $R$  is a prime.

*Proof.* Suppose  $R$  is a unique factorisation domain. Let  $p \in R$  be an irreducible element and suppose that  $ab \in (p)$  for some  $a, b \in R$ . We have that  $ab = kp$  for some  $k \in R$ . Since  $R$  is a unique factorisation domain,  $a$ ,  $b$  and  $k$  can be expressed as a unique product of irreducibles. Hence

$$\alpha_1 \dots \alpha_n \beta_1 \dots \beta_m = \gamma_1 \dots \gamma_l p \tag{1.1}$$

for some irreducible  $\alpha_i, \beta_j, \gamma_k \in R$ . Since each factorisation of  $a$ ,  $b$  and  $k$  must be unique, the irreducibles on the left hand side of (1.1) must match up with one on the right. Since  $p$  itself is an irreducible, it must match up with an irreducible on the left hand side. Hence  $p$  must be a factor of either  $a$  or  $b$  and thus  $a \in (p)$  or  $b \in (p)$  and  $p$  is a prime element.  $\square$

**Definition 1.26.** A ring  $R$  is called a **principal ideal domain** if it is an integral domain and every ideal of  $R$  is a principal ideal.

**Proposition 1.27.** Let  $R$  be a principal ideal domain. Then it is a unique factorisation domain.

**Definition 1.28.** Let  $I \triangleleft R$  be an ideal of a ring  $R$ . We say that  $I$  is a **maximal ideal** if  $I \neq R$  and if  $I \subseteq J \triangleleft R$  for some ideal  $J$  then  $I = J$  or  $J = R$ .

**Proposition 1.29.** Let  $R$  be a ring and  $I \triangleleft R$  an ideal. Then

1.  $I$  is a prime ideal if and only if the quotient ring  $R/I$  is an integral domain
2.  $I$  is a maximal ideal if and only if the quotient ring  $R/I$  is a field.
3. Every maximal ideal is also a prime ideal

*Proof.*

Part 1:

$\implies$  : Let  $R$  be a ring and  $I \triangleleft R$  a prime ideal. We want to show that  $R/I$  is an integral domain. We first note that from the definition of cosets, for an ideal  $I$  and an element  $r \in R$ ,  $r + I = I \implies r \in I$  and that  $I$  is itself the zero element of the quotient ring. Now suppose that  $(r + I)(s + I) = I$  for some  $r + I, s + I \in R/I$ . By the definition of multiplication in a quotient ring, it follows that  $rs + I = I$ . From the properties of cosets mentioned before, this means that  $rs \in I$ . Now since  $I$  is a prime ideal, we have that either  $r \in I$  or  $s \in I$ . But this just means that  $r + I = I$  or  $s + I = I$  which is exactly what it means for  $R/I$  to be an integral domain.

$\impliedby$  : Now suppose that  $R/I$  is an integral domain. We need to show that  $I$  is a prime ideal. Let  $a, b \in R$  be such that  $ab \in I$ . By the definition of the



quotient ring  $R/I$ , we have that  $ab + I = I$ . It follows from the definition of multiplication in a quotient ring that  $(a + I)(b + I) = I$ . Since  $R/I$  is an integral domain, this must mean that either  $a + I = I$  or  $b + I = I$ . Thus  $a \in I$  or  $b \in I$ . We have shown that if  $ab \in I$  then  $a \in I$  or  $b \in I$ , hence  $I$  is a prime ideal.

Part 2:

$\implies$  : Let  $R$  be a ring and  $I \triangleleft R$  a maximal ideal. We want to show that  $R/I$  is a field. In particular, we have to show that  $(R/I)^\times = R/I - 0_{R/I} = R/I - I$ . Let  $a + I \in R/I$  be a non-zero element. We want to show that there exists a  $b + I \in R/I$  such that  $(a + I)(b + I) = 1 + I$ . By the definition of multiplication in a quotient ring, we have that  $(a + I)(b + I) = ab + I = 1 + I$ . Hence it suffices to show that there exists  $b \in R$  such that  $ab - 1 \in I$ . Now consider the ideal

$$J = \{ar + i \mid i \in I\}$$

for some  $r \in R$ . Obviously, this ideal properly includes the ideal  $I$ . But  $I$  is a maximal ideal so  $J$  must be equal to  $R$ . Hence  $ar + i = 1$  for some  $r \in R$  and  $i \in I$ . This implies that  $ar - 1 \in I$ . Passing back to the quotient ring, we see that  $(ar - 1) + I = I$  which implies that  $ar + I = 1 + I$ . By the definition of multiplication in the quotient ring, we have that  $(a + I)(r + I) = 1 + I$ . Hence we have found a  $b$ , namely  $r$ , for which  $a + I$  has an inverse in the quotient ring. Hence the quotient ring is a field.

$\impliedby$  : Now suppose that  $R/I$  is a field. In particular, every non-zero element of  $R/I$  has an inverse. We want to show that  $I$  is a maximal ideal. Consider  $J \supsetneq I$  an ideal of  $R$  properly containing  $I$  and let  $a \in J$  such that  $a \notin I$ . It follows that  $a + I \neq I$  and hence, since  $R/I$  is a field,  $(a + I)(b + I) = 1 + I$  for some  $b \in R$ . By the definition of multiplication in the quotient ring, we have that  $ab - 1 \in I$ . Denote  $i = ab - 1$ . We can see that  $1 = ab - i$ . Since  $a, i \in J$ , it follows that  $1 \in J$  which must mean that  $J = R$ . Hence  $I$  is a maximal ideal.

Part 3: Let  $I$  be a maximal ideal of  $R$ . By part 2, we have that  $R/I$  is a field. Since all fields are integral domains, we have that  $R/I$  is an integral domain. By part 1, this must mean that  $I$  is a prime ideal.

□

**Lemma 1.30.** *Let  $R$  and  $S$  be two rings and  $f : R \rightarrow S$  a homomorphism of rings. Then*

1.  $\ker(f) = \{r \in R \mid f(r) = 0\}$  is an ideal of  $R$
2.  $\text{Im}(f)$  is a subring of  $S$
3.  $f$  induces an isomorphism of rings

$$\begin{aligned} R/\ker(f) &\rightarrow \text{Im}(f) \\ [r]_{\ker(f)} &\mapsto f(r) \end{aligned}$$

for all  $r \in R$ .

# Chapter 2

## Polynomial rings

**Definition 2.1.** Let  $f(X) = (c_0, c_1, \dots) = \sum_i c_i X^i$  be a non-zero polynomial. The **leading term** (leading coefficient) of  $f(X)$  is defined to be  $c_d X^d$  ( $c_d$ ). We say that  $f(X)$  is **monic** if the leading coefficient is 1.

**Lemma 2.2.** Let  $R$  be a ring and  $f_1, f_2 \in R[X]$  two polynomials. Then

1.  $\deg(f_1 + f_2) \leq \max\{\deg(f_1), \deg(f_2)\}$
2.  $\deg(f_1 f_2) \leq \deg(f_1) + \deg(f_2)$  with equality holding if  $R$  is an integral domain.

*Proof.* If either  $f_1$  or  $f_2$  are the zero polynomial then we are done hence suppose that  $f_1, f_2 \neq 0$ . Let  $f_1(X) = \sum_i c_i X^i$  and  $f_2(X) = \sum_i d_i X^i$  for some constants  $c_i, d_i \in R$ .

Part 1: By the definition of addition of polynomials, we have that

$$\deg(f_1(X) + f_2(X)) = \deg\left(\sum_i (c_i + d_i) X^i\right)$$

By the definition of the degree of a polynomial, it follows that

$$\begin{aligned} \deg\left(\sum_i (c_i + d_i) X^i\right) &= \max\{i \mid c_i + d_i \neq 0\} \\ &\leq \max\{\max\{i \mid c_i \neq 0\}, \max\{i \mid d_i \neq 0\}\} \\ &= \max\{\deg(f_1), \deg(f_2)\} \end{aligned}$$

Part 2: Let  $c_n X^n$  be the leading term of  $f_1(X)$  and  $d_m X^m$  the leading term of  $f_2(X)$ . Then by the definition of polynomial multiplication, we have that  $f_1(X)f_2(X) = e_{n+m}X^{n+m} + \dots + e_0$  for some constants  $e_i \in R$ . Obviously, the degree of  $f_1(X)f_2(X)$  can be no greater than  $n + m$ . Hence we have that  $\deg(f_1(X)f_2(X)) \not> \deg(f_1) + \deg(f_2)$ .

Since the ring  $R$  could have zero divisors, it could happen that  $0 = e_{n+m} = c_n d_m$  and hence  $\deg(f_1(X)f_2(X)) < \deg(f_1(X)) + \deg(f_2(X))$ . Hence it follows that  $\deg(f_1(X)f_2(X)) \leq \deg(f_1) + \deg(f_2)$ .

In the case where  $R$  is an integral domain, it cannot have any zero divisors meaning  $e_{n+m}$  cannot be 0 hence the degree of  $f_1(X)f_2(X)$  can never be less than  $n + m$ . We are thus left with  $\deg(f_1(X)f_2(X)) = \deg(f_1) + \deg(f_2)$

□

**Corollary 2.3.** *Let  $R$  be a ring. We have that*

1.  *$R$  is an integral domain if and only if  $R[X]$  is an integral domain*
2.  *$R^\times \subseteq R[X]^\times$  with equality if  $R$  is an integral domain*

*Proof.*

Part 1:

$\implies$  : Assume  $R$  is an integral domain and consider two polynomials  $f, g \in R[X]$ . Suppose that  $fg = 0_R$  with  $f, g \neq 0_R$ . We can write  $f = a_n X^n + \dots + a_0$  and  $g = b_n X^n + \dots + b_0$  for some  $a_i, b_i \in R$ . We know that the leading term of  $fg$ , by definition of multiplication of polynomials is  $a_n b_n X^n$ . Since  $fg = 0_R$ , we require that  $a_n b_n = 0_R$ . Since  $R$  is an integral domain, either  $a_n = 0_R$  or  $b_n = 0_R$ . Suppose, without loss of generality that  $a_n = 0_R$ . This is a contradiction however as we assumed that  $f \neq 0 \implies a_n \neq 0_R$ . Hence if  $fg = 0_R$  then either  $f = 0_R$  or  $g = 0_R$  and  $R[X]$  is an integral domain.

$\impliedby$  : Assume  $R[X]$  is an integral domain and consider  $a, b \in R$ . Now consider the two polynomials  $f(X) = a$  and  $g(X) = b$  in  $R[X]$ . Assume that  $fg = 0_R$ . This is equivalent to the assumption that  $ab = 0_R$ . Since  $R[X]$  is an integral domain, this means either  $f(X) = a = 0$  or  $g(X) = b = 0$ , meaning that  $R$  is an integral domain.

□

**Theorem 2.4.** *Let  $R$  be a field and  $f, g \in R[X]$  two non-zero polynomials. Then there exists  $q, r \in R[X]$  such that  $f = qg + r$  with  $\deg(r) < \deg(g)$ . Furthermore,  $q$  and  $r$  are uniquely determined by  $f$  and  $g$ .*

*Proof.* If  $\deg(f) < \deg(g)$ , we can take  $q = 0$  and  $r = f$  and we are done so assume that  $\deg(f) \geq \deg(g)$ .

Now set  $f(X) = a_n X^n + \cdots + a_0$  and  $g(X) = b_m X^m + \cdots + b_0$  for some  $a_i, b_i \in R$ . We will prove the theorem by induction on the degree of  $f$ . For the base step, let  $\deg(f) = 1$  and we can take  $q = \frac{a_n}{b_m}$  and  $r = f - qg$ .

Now assume that the theorem is true for  $\deg(f) = k - 1$ . We want to show that it is true for  $\deg(f) = k$ .

Consider the polynomial

$$\begin{aligned} h &= f - \frac{a_n}{b_m} X^{n-m} g \\ &= a_n X^n + \cdots + a_0 - \frac{a_n}{b_m} X^{n-m} [b_m X^m + \cdots + b_0] \\ &= a_n X^n + \cdots + a_0 - \left[ a_n X^n + \frac{a_n b_{m-1}}{b_m} X^{n-1} + \cdots + \frac{a_n b_0}{b_m} X^{n-m} \right] \\ &= \frac{a_n b_{m-1}}{b_m} X^{n-1} + \cdots + \frac{a_n b_0}{b_m} X^{n-m} + \cdots + a_0 \end{aligned}$$

Obviously, this polynomial has degree  $k - 1$  and by the induction hypothesis, there exists a  $q_1$  and  $r_1$  such that  $h = gq_1 + r_1$ . Now we have that

$$\begin{aligned} h &= gq_1 + r_1 \\ \implies f - \frac{a_n}{b_m} X^{n-m} g &= gq_1 + r_1 \\ \implies f &= gq_1 + \frac{a_n}{b_m} X^{n-m} g + r_1 \\ \implies f &= g\left(q_1 + \frac{a_n}{b_m} X^{n-m}\right) + r_1 \end{aligned}$$

Hence we have found a  $q = q_1 + \frac{a_n}{b_m} X^{n-m}$  and  $r = r_1$  hence the theorem is true for  $\deg(f) = k$ .

Now assume that  $f = gq_1 + r_1$  and  $f = gq_2 + r_2$  for distinct  $q_1, q_2$  and  $r_1, r_2$  with  $\deg(r_1) < g$  and  $\deg(r_2) < g$ . We have that

$$\begin{aligned} gq_1 + r_1 &= gq_2 + r_2 \\ \implies g(q_1 - q_2) &= r_2 - r_1 \end{aligned}$$

Hence  $g \mid (r_2 - r_1)$  but since  $\deg(r_2 - r_1) < \deg(g)$ , we must have that  $r_2 - r_1 = 0 \implies r_2 = r_1$ . Furthermore, we then have that  $g(q_1 - q_2) = 0$  and since  $g \neq 0$ , we must have  $q_1 = q_2$ .  $\square$

**Corollary 2.5.** *Let  $R$  be a field and  $f, g \in R[X]$  not both zero. Then there exists a unique  $h \in R[X]$  such that*

1.  $h|f$  and  $h|g$
2.  $h$  is monic
3. the degree of  $h$  is maximal among all  $l \in R[X]$  such that  $l|f$  and  $l|g$

Such a polynomial is called the **greatest common divisor** of  $f$  and  $g$ .

*Proof.* Consider the set

$$S = \{a(X)f(X) + b(X)g(X) \mid a(X), b(X) \in R[X], af + bg \neq 0\}$$

and let  $h_1(X) = a_1(X)f(X) + b_1(X)g(X) \in S$  be the polynomial of least degree. If the leading coefficient is not  $1_R$ , we can multiply through by its inverse, say  $a_n^{-1}$ , to obtain a monic polynomial  $h(X) = a(X)f(X) + b(X)g(X)$  where  $a(X) = a_n^{-1}a_1(X)$  and  $b(X) = a_n^{-1}b_1(X)$ . We claim that  $h(X)|f(X)$  and  $h(X)|g(X)$ .

By the division algorithm for polynomials, we have that

$$\begin{aligned} f(X) &= h(X)q(X) + r(X), \quad \deg(r(X)) < \deg(h(X)) \\ \implies r(X) &= f(X) - h(X)q(X) \end{aligned} \tag{2.1}$$

After substituting  $h(X)$  into (2.1), we are left with

$$r(X) = f(X)(1 - q(X)a(X)) - q(X)b(X)g(X)$$

Now since  $\deg(r(X)) < \deg(h(X))$  and  $h(X)$  is, by assumption, the polynomial of least degree in  $S$ , we have that  $r(X) \notin S$ . This implies that  $r(X)$  must equal 0.

We thus have that  $f(X) = h(X)q(X)$  meaning that  $h(X)|f(X)$ . A similar argument can be applied to  $g(X)$  to arrive at  $h(X)|g(X)$ .

The polynomial  $h(X)$  is monic by construction so it remains to show the third part.

Consider a polynomial  $l(X)$  such that  $l(X)|f(X)$  and  $l(X)|g(X)$ . Then we have that  $l(X)|(a(X)f(X) + b(X)g(X))$  for all  $a(X), b(X) \in R[X]$ . In particular,  $l(X)$  must divide  $h(X)$ . Hence  $h(X)$  must be the polynomial of maximal degree dividing both  $f(X)$  and  $g(X)$ .  $\square$

**Corollary 2.6.** *If  $R$  is a field then  $R[X]$  is a principal ideal domain.*

*Proof.* Consider an ideal  $I \triangleleft R[X]$ . If  $I$  is the zero ideal then it is principal and we are done, hence let  $I \neq \{0\}$ .

Now consider the set

$$S = \{f(X) \in I \mid f(X) \neq 0\}$$

and choose  $h(X) \in S$  such that  $h(X)$  is of minimal degree. We claim that  $I = (h(X))$ . It suffices to show that  $f(X) = h(X)q(X)$  for some  $q(X) \in R[X]$ .

Since  $R$  is a field, we can apply the division algorithm for polynomials and we have that

$$f(X) = q(X)h(X) + r(X), \quad \deg(r(X)) < \deg(h(X))$$

for some  $q(X), r(X) \in R[X]$ . It follows that  $r(X) = f(X) - q(X)h(X)$ . Since  $f(X), h(X) \in I$ , we can see that  $r(X) \in I$ . But  $r(X)$  has degree strictly less than  $h(X)$  and  $h(X)$  is a non-zero polynomial of least degree, hence  $r(X) = 0$ .  $\square$

**Corollary 2.7.** *Let  $R$  be a field and consider a polynomial  $g(X) \in R[X] \setminus R$ . Then  $g(X)$  is irreducible if and only if the ideal generated by  $g(X)$  is maximal ideal of  $R[X]$ .*

*Proof.*

$\implies$  : Let  $R$  be a field and  $g(X) \in R[X] \setminus R$  an irreducible polynomial. We want to show that  $(g(X))$  is maximal. Consider a polynomial  $f(X) \in R[X]$  such that  $(g(X)) \subseteq (f(X)) \subsetneq R[X]$ . We therefore have that for some polynomial  $h(X) \in R[X]$ ,  $g(X) = f(X)h(X)$ .

Now since  $f(X)$  is irreducible, we have that either  $h(X) \in R[X]^\times$  or  $g(X) \in R[X]^\times$ . But  $(f(X))$  is a proper principal ideal and hence we cannot have that  $f(X) \in R[X]^\times$ . Hence  $h(X) \in R[X]^\times$ . Therefore  $(f(X)) = (g(X))$  and the ideal generated by  $g(X)$  is maximal across all proper ideals of  $R[X]$ .

$\impliedby$  : Now suppose that  $(g(X))$  is maximal. We want to show that  $(g(X))$  is irreducible. Assume that  $(g(X))$  is reducible and hence  $g(X) = f(X)h(X)$  for some non-units  $f(X), h(X) \in R[X]$ . Now since neither  $f(X)$  and  $h(X)$  are non-units, we have that  $(g(X)) \subsetneq (f(X))$  which contradicts the maximality of  $(g(X))$ . Hence  $g(X)$  must be irreducible.  $\square$

**Definition 2.8.** Let  $f(X) = \sum_{i=0}^d c_i X^i$  be a polynomial in  $R[X]$ . We define the **evaluation map at  $r$**  to be the map

$$\begin{aligned} ev_r : R[X] &\rightarrow R \\ f(X) &\mapsto f(r) \end{aligned}$$

**Lemma 2.9.** Let  $R$  be a ring and  $S \subseteq R$  a subring. Consider  $r \in R$ . The smallest subring of  $R$  which contains both  $S$  and  $r$  is  $S[R] = ev_r|_{S[X]}$ .

**Lemma 2.10.** Consider a ring  $R$  and the evaluation map  $ev_r$  for some  $r \in R$  and  $f(X) = \sum_{i=0}^d c_i X^i \in R[X]$ . Then the kernel of the map  $ev_r$  is the principal ideal  $(X - r)$ .

*Proof.* By the definition of the kernel, we have that the kernel of the evaluation map is

$$\ker(ev_r) = \{f(X) \in R[X] \mid f(r) = 0\}$$

Obviously, this corresponds to all polynomials that have  $r \in R$  as a root which is equivalent to all polynomials generated by the ideal  $(X - r)$ .  $\square$

**Definition 2.11.** Consider a polynomial  $f \in \mathbb{Z}[X]$ . We say that  $f$  is **primitive** if  $\deg(f) \geq 1$  and if the greatest common divisor of the coefficients of  $f$  is 1.

**Lemma 2.12.** Consider two primitive polynomials  $f = \sum_i a_i X^i, g = \sum_i b_i X^i \in \mathbb{Z}[X]$ . Then their product  $fg$  is a primitive polynomial

*Proof.* Let  $h(X) = f(X)g(X)$ . Suppose that  $h(X)$  is not primitive. Then there exists a prime  $p$  that is a common divisor of all the coefficients of  $h(X)$ . Since  $f(X)$  and  $g(X)$  are primitive,  $p$  cannot be a divisor of all of the  $a_i$  or all of the  $b_i$ . Let  $a_r X^r$  and  $b_s X^s$  be the terms of highest degree whose coefficient  $p$  does not divide, respectively in  $f(X)$  and  $g(X)$ . Now consider the term of degree  $r + s$  in  $h(X)$ . By the definition of multiplication of polynomials, its coefficient is given by

$$\sum_{k+l=r+s} a_k b_l$$

This sum contains the term  $a_r b_s$  which is not divisible by  $p$ . Hence the entire sum is not divisible by  $p$ . This is a contradiction to the assumption that  $p$  is a common divisor of all the coefficients of  $h(X)$ . Hence there does not exist a prime which divides all the coefficients of  $h(X)$ , thus it is primitive.  $\square$



**Proposition 2.13.** *Consider a primitive polynomial  $f \in \mathbb{Z}[X]$ . Then  $f$  is irreducible in  $\mathbb{Z}[X]$  if and only if it is irreducible in  $\mathbb{Q}[X]$ .*

*Proof.*

$\implies$  : Let  $f$  be a primitive polynomial that is irreducible in  $\mathbb{Z}[X]$  and let  $f(X) = g(X)h(X)$  where  $g(X), h(X) \in \mathbb{Q}[X]$ . We can choose  $\frac{a}{b}, \frac{c}{d} \in \mathbb{Q}$  such that  $\frac{a}{b}f(X)$  and  $\frac{c}{d}g(X)$  are primitive. Hence, by the previous lemma,  $\frac{ac}{bd}f(X)$  is a primitive polynomial. But  $f(X)$  is itself, by assumption, a primitive polynomial. Thus  $\frac{ac}{bd} = 1$ .

We therefore have that  $\frac{ab}{cd}g(X)h(X) = (\frac{a}{b}g(X))(\frac{c}{d}h(X))$  is a factorisation of  $f(X)$  in  $\mathbb{Z}[X]$ . Since  $f(X)$  is irreducible, we must either have that  $\frac{a}{b}g(X) \in \mathbb{Z}^\times$  or  $\frac{c}{d}h(X) \in \mathbb{Z}^\times$ . Hence  $g(X) \in \mathbb{Q}[X]^\times$  or  $h(X) \in \mathbb{Q}[X]^\times$ .

$\impliedby$  : Now assume that  $f$  is a primitive polynomial that is irreducible in  $\mathbb{Q}[X]$ . Since  $\mathbb{Z} \subseteq \mathbb{Q}$ , it follows that  $f$  must be irreducible in  $\mathbb{Z}[X]$ . □

**Remark.** *The two previous lemmas are together referred to as **Gauss' Lemma**.*

**Proposition 2.14.** *(Eisenstein's Criterion)*

*Let  $f(X) = \sum_{i=0}^n c_i X^i$  be a primitive polynomial of degree  $n$  in  $\mathbb{Z}[X]$ . If there exists a prime  $p$  such that*

1.  $p|c_i$  for  $0 \leq i < n$
2.  $p^2$  does not divide  $c_0$

*then  $f(X)$  is irreducible in  $\mathbb{Q}[X]$ .*

*Proof.* Consider a prime  $p$  satisfying the hypothesis and the image  $\bar{f}(X)$  of  $f(X)$  under the map

$$\begin{aligned} \mathbb{Z}[X] &\rightarrow \mathbb{F}_p[X] \\ c_i &\mapsto c_i \pmod{p} \end{aligned}$$

Since  $p|c_i$  for  $0 \leq i < n$  and  $f(X)$  is a primitive polynomial, the leading term of  $\bar{f}(X)$  must be 1 while the other terms are congruent to 0 modulo  $p$ . Hence we have that  $\bar{f}(X) = X^n$ .

Now suppose that  $f(X)$  is reducible. We have that  $f(X) = g(X)h(X)$  for some  $g(X), h(X) \in \mathbb{Z}[X]$  and  $\deg(f) > \deg(g), \deg(h)$ . Then  $\bar{g}(X) = X^m$

and  $\bar{h}(X) = X^{n-m}$  for some  $0 < m < n$ . Hence the constant term of  $g(X)$  and  $h(X)$  are both divisible by  $p$ . This would imply that the constant term of  $f$  is divisible by  $p^2$  which contradicts the assumptions for the prime  $p$ . Hence  $f$  must be irreducible.  $\square$

# Chapter 3

## Field Extensions

**Definition 3.1.** Let  $L$  be a field and  $K \subseteq L$  a subfield. We define the **field extension of  $L$  over  $K$**  to be the pair  $(K, L)$  and denote it by  $L/K$ .

**Remark.** We can consider a field  $L$  to be a vector space over one of its subfields  $K$ . The elements of  $L$  are the vectors and the elements of  $K$  are the scalars

**Definition 3.2.** Let  $L/K$  be a field extension. We define the **degree** of  $K/L$  to be the dimension of  $L$  as a  $K$ -vector space. It is denoted by  $[L : K]$ .

**Example 3.3.** Let  $K$  be a field and  $f(X) \in K[X]$  an irreducible polynomial of positive degree. Then  $K[X]/(f(X))$  is a field by previous results and the map

$$\begin{aligned} i_f : K &\rightarrow K[X]/(f(X)) \\ k &\mapsto [k]_{f(X)} \end{aligned}$$

is a ring homomorphism. This gives a field extension  $(K, K[X]/(f(X)))$  whose degree is equal to  $\deg(f)$ .

**Theorem 3.4.** (Tower Law)

Consider two field extensions  $L/K$  and  $M/L$ . Then  $M/K$  is a field extension and

$$[M : K] = [M : L][L : K]$$

*Proof.* Let  $\{m_\alpha \mid \alpha \in I\}$  be an L-basis of M and  $\{l_\beta \mid \beta \in J\}$  be a K-basis of L. We will show that  $\{m_\alpha l_\beta \mid \alpha \in I, \beta \in J\}$  is a K-basis of M.

Consider  $m \in M$ . Then

$$m = \sum_{i=1}^n x_i m_{\alpha_i}$$

for some  $x_i \in L$ . Now we can write each  $x_i$  as

$$x_i = \sum_{j=1}^k y_{ij} l_{\beta_j}$$

for some  $y_{ij} \in K$ . Thus

$$m = \sum_i \sum_j y_{ij} m_{\alpha_i} l_{\beta_j}$$

Hence the set  $\{m_\alpha l_\beta \mid \alpha \in I, \beta \in J\}$  spans M as a K-vector space.

Now, if

$$\sum_{i,j} a_{ij} m_{\alpha_i} l_{\beta_j} = 0$$

with  $a_{ij} \in K$ , then

$$\sum_i \left( \sum_j a_{ij} l_{\beta_j} \right) m_{\alpha_i} = 0$$

Since  $\{m_\alpha \mid \alpha \in I\}$  is linearly independent over L, we can see that  $\sum_j a_{ij} l_{\beta_j} = 0$  for each  $i$ . Again, since  $\{l_\beta \mid \beta \in J\}$  is linearly independent over K, we can see that  $a_{ij} = 0$  for all  $i, j$ . Hence  $\{m_\alpha l_\beta \mid \alpha \in I, \beta \in J\}$  is linearly independent and thus it forms a K-basis for M. The cardinality of this set is exactly equal to the product of the cardinalities of  $\{m_\alpha \mid \alpha \in I\}$  and  $\{l_\beta \mid \beta \in J\}$  hence it also follows that

$$[M : K] = [M : L][L : K]$$

□

# Chapter 4

## Algebraic Extensions

**Definition 4.1.** Let  $L/K$  be a field extension. An element  $l \in L$  is said to be **algebraic** over  $K$  if there exists a non-zero polynomial  $f \in K[X]$  such that  $f(l) = 0$ . If there exists no such polynomial, the element  $l$  is said to be **transcendental** over  $K$ . The extension  $L/K$  is said to be **algebraic** if every element of  $L$  is algebraic over  $K$ .

**Example 4.2.** Let  $L/K$  be a field extension and  $l \in L$ . Consider the evaluation at  $l$

$$\begin{aligned} \text{ev}_L : K[X] &\rightarrow L \\ f(X) &\mapsto f(l) \end{aligned}$$

It follows from this that  $l$  is transcendental over  $K$  if and only if  $\text{ev}_l$  is injective.

**Proposition 4.3.** Let  $L/K$  be a finite dimensional field extension. Then  $L/K$  is algebraic.

*Proof.* Let  $L/K$  be a finite extension and  $l \in L$ . Consider the set

$$\{1, l, l^2, \dots\}$$

If this set is finite then  $l^n = 1$  for some  $n \in \mathbb{N}$ . This implies that  $l$  is a root of the polynomial  $f(X) = X^n - 1 \in K[X]$  and hence  $l$  is algebraic over  $K$ . If the set is infinite then it cannot be linearly independent over  $K$ . Hence we have that

$$\sum_i a_i l^i = 0$$

for some  $a_i \in K$ . Therefore,  $l$  is a root of  $f(X) = \sum_i a_i X^i \in K[X]$  and  $l$  is algebraic over  $K$ .  $\square$

**Proposition 4.4.** *Let  $L/K$  be a field extension and  $l \in L$  be algebraic over  $K$ . Then there is a unique polynomial  $p(X) \in K[X]$  such that*

1.  $p(X)$  is monic
2.  $p(l) = 0$
3.  $\deg(p(X))$  is minimal among the polynomials  $q(X) \in K[X]$  satisfying  $q(l) = 0$

Furthermore, this polynomial is irreducible and is called the **minimal polynomial** of  $l$  over  $K$ . It is denoted by  $\min_{l,K}(X)$ .

*Proof.* Consider the evaluation map

$$\begin{aligned} ev_l : K[X] &\rightarrow L \\ f(X) &\mapsto f(l) \end{aligned}$$

Let  $e = ev_l|_{K[X]}$  and  $I = \ker(e) \subseteq K[X]$ . Since  $l$  is algebraic over  $K$ , the ideal  $I$  is non-trivial. It is also not the whole ring  $K[X]$  since  $1_K$  maps to itself and is hence not in the kernel. Therefore, since a polynomial ring is a principal ideal domain, we have that  $I = (p(X))$  for some non-constant polynomial  $p(X) \in K[X]$ .

Now assume that  $p(X)$  is not monic. Obviously,  $p(X)$  satisfies all three conditions listed in the proposition.

To show that  $p(X)$  is irreducible, assume that it is reducible. Then  $p(X) = f(X)g(X)$  for some non-units  $f(X), g(X) \in K[X]$  with  $\deg(p) > \deg(f), \deg(g)$ . Then  $p(l) = f(l)g(l) = 0$ . This means that either  $f(l) = 0$  or  $g(l) = 0$ . But this contradicts the fact that  $p(X)$  is the polynomial of least degree in  $K[X]$  where  $l$  is a root. Therefore,  $p(X)$  is irreducible in  $K[X]$ .  $\square$

**Proposition 4.5.** *Let  $L/K$  be a field extension and  $l \in L$  algebraic. Then there exists a unique isomorphism of rings*

$$\begin{aligned} \theta_l : K[X]/(p(X)) &\rightarrow K[l] \\ [X]_{(p(X))} &\mapsto l \\ [k]_{(p(X))} &\mapsto k, \quad \forall k \in K \end{aligned}$$

*In particular,  $K[l]$  is a field and the degree of the extension  $K[l]/K$  is equal to  $\deg(p(X))$ .*

**Proposition 4.6.** *Let  $L/K$  be a field extension and  $L \in L$  transcendental. Then there exists a unique isomorphism of rings*

$$\begin{aligned}\theta_k : K[X] &\rightarrow K[l] \\ X &\mapsto l \\ k &\mapsto k, \quad \forall k \in K\end{aligned}$$

*In particular,  $K[l]$  is not a field and the degree of the field extension is infinite.*

**Definition 4.7.** *Let  $L/K$  be a field extension and  $l_1, l_2 \in L$ .  $l_1$  and  $l_2$  are said to be **conjugates** if they are both algebraic over  $K$  and have the same minimal polynomial.*

**Corollary 4.8.** *Let  $K$  be a field,  $f(X) \in K[X]$  irreducible and  $L_1, L_2$  extensions of  $K$ . If  $l_1$  and  $l_2$  are roots of  $f(X)$  in  $L_1$  and  $L_2$  respectively then there exists a unique isomorphism of fields*

$$\begin{aligned}\theta : K[l_1] &\rightarrow K[l_2] \\ l_1 &\mapsto l_2 \\ k &\mapsto k, \quad \forall k \in K\end{aligned}$$

*Proof.* This follows by considering the maps

$$K[L_1] \leftarrow K[X]/(p(X)) \rightarrow K[L_2]$$

□

**Definition 4.9.** *Let  $R$  be an integral domain and consider the set  $\{\frac{r}{s} \mid r, s \in R, s \neq 0\}$ . We define an equivalence relation  $\frac{r}{s} \sim \frac{r'}{s'} \iff rs' = r's$ . We then define*

$$\text{Frac}(R) = \left\{ \frac{r}{s} \mid r, s \in R, s \neq 0 \right\} / \sim$$

*to be the **field of fractions** of  $R$ .*

**Lemma 4.10.** *Let  $R$  be an integral domain. Then*

1.  $\text{Frac}(R)$  is a field
2.  $R$  injects into  $\text{Frac}(R)$  with the map  $r \rightarrow \frac{r}{1_R}$

3. If  $\sigma : R \rightarrow K$  is an injective ring homomorphism then there is a unique ring homomorphism  $\tilde{\sigma} : \text{Frac}(R) \rightarrow K$  such that the following diagram commutes

$$\begin{array}{ccc} R & \xrightarrow{\sigma} & K \\ \downarrow & \nearrow \tilde{\sigma} & \\ \text{Frac}(R) & & \end{array}$$

**Example 4.11.**  $\text{Frac}(\mathbb{Z}) \cong \mathbb{Q}$

**Example 4.12.** If  $R$  is a field then  $\text{Frac}(R) \cong R$

**Remark.** Let  $L/K$  be a field extension and  $l_1, \dots, l_n$  elements of  $L$ . Then we write

$$K(l_1, \dots, l_n) := \text{Frac}(K[l_1, \dots, l_n])$$

**Definition 4.13.** Let  $L/K$  be a field extension. We say that  $L$  is **generated** by  $l_1, \dots, l_n$  over  $K$  if  $L = K(l_1, \dots, l_n)$ . The elements  $l_1, \dots, l_n$  are called **generators** of  $L$  over  $K$ .

**Definition 4.14.** Let  $L/K$  be a field extension. We say that  $L/K$  is **simple** if  $L$  is generated by a single element over  $K$ .



# Chapter 5

## Embeddings of Fields

**Definition 5.1.** Let  $K$  be a field and  $f(X) \in K[X]$  a polynomial. We say that  $f(X)$  *splits completely* in  $K$  if

$$f(X) = c(X - k_1) \dots (X - k_n)$$

for some  $c, k_1, \dots, k_n \in K$ .

**Proposition 5.2.** Let  $K$  be a field and  $f(X) \in K[X]$  a polynomial. Then there exists a field extension  $L/K$  of finite degree such that  $f(X)$  splits completely in  $L[X]$ .

*Proof.* We prove the theorem by induction on  $\deg(f)$ . For the basis case, assume  $\deg(f) = 1$ . By definition,  $f(X)$  splits completely in  $K[X]$ . Now assume that the proposition is true for any polynomial  $f(X) \in K[X]$  with  $\deg(f(X)) \leq n$ . Hence there exists a field extension  $L$  of  $K$  in which  $f(X)$  splits completely.

We now consider a polynomial  $f(X)$  where  $\deg(f(X)) = n + 1$ . If  $f(X)$  is reducible then we can write  $f(X) = g(X)h(X)$  where  $\deg(g), \deg(h) \leq n$ . By the induction hypothesis, we can find a field extension  $L_1$  of  $K$  in which  $g(X)$  splits completely. We can again apply the induction hypothesis to  $L_1$  and  $h(X)$  to obtain a field  $L_2$  in which  $h(X)$  splits completely. Hence  $f(X)$  splits completely in  $L_2$  and we are done.

On the other hand, if  $f(X)$  is irreducible over  $K[X]$  then we can take the finite extension  $L_1 = K[X]/(f(X))$ . Then  $L_1$  contains a root of  $f(X)$ . Hence  $f(X)$  is reducible over  $L_1$  and by the previous case, we can construct a finite extension of  $L_1$  containing all roots of  $f(X)$ .  $\square$

**Definition 5.3.** Let  $K$  be a field and  $f(X) \in K[X]$  a polynomial. Consider an extension  $L/K$  such that  $f(X)$  splits completely in  $L[X]$ , say  $f(X) = c(X - l_1) \dots (X - l_n)$  where  $c, l_1, \dots, l_n \in L$ . The subfield of  $L$  generated by  $l_1, \dots, l_n$  over  $K$  is called a **splitting field** of  $f(X)$  over  $K$ .

**Definition 5.4.** Let  $L_1/K$  and  $L_2/K$  be two field extensions. A  **$K$ -embedding** ( **$K$ -isomorphism**) from  $L_1$  to  $L_2$  is an injective (bijective) ring homomorphism that fixes all elements of  $K$ :

$$\theta : L_1 \rightarrow L_2$$

such that  $\theta|_K$  is the identity map.

**Remark.** Let  $\theta : L_1 \rightarrow L_2$  be a ring homomorphism. It extends uniquely to a ring homomorphism

$$\begin{aligned} \bar{\theta} : L_1[X] &\rightarrow L_2[X] \\ \sum_i c_i X^i &\mapsto \sum_i \theta(c_i) X^i \end{aligned}$$

We note that

1. If  $\theta$  is injective then  $\bar{\theta}$  is injective
2. Let  $f(X) \in L_1[X]$ . An element  $l_1 \in L_1$  is a root of  $f(X)$  if and only if  $\theta(l_1)$  is a root of  $\theta(f(X))$
3. Assume that  $\theta$  is bijective. The polynomial  $f(X)$  is irreducible in  $L_1[X]$  if and only if  $\bar{\theta}(f(X))$  is irreducible in  $L_2[X]$

**Definition 5.5.** Let  $L/K$  be a field extension and  $\sigma : L \rightarrow L$  an automorphism. We say that  $\sigma$  is a  $K$ -automorphism if  $\sigma$  fixes every element of  $K$ .

**Proposition 5.6.** Let  $L/K$  be an algebraic field extension. Then every  $K$ -embedding of  $L$  into itself is necessarily a  $K$ -automorphism.

*Proof.* Since every ring homomorphism is injective, it suffices to show that any  $K$ -endomorphism of  $L$  is surjective. Let  $\sigma$  be a  $K$ -embedding of  $L$ . Since  $\sigma$  is a  $K$ -embedding, we have that for all  $f[X] \in K[X]$ ,  $\sigma(f(X)) = f(X)$ . Hence  $l$  is a root of  $f(X)$  if and only if  $\sigma(l)$  is a root of  $f(X)$ . Consider  $l \in L$ . We want to show that there exists  $l_1 \in L$  such that  $\sigma(l_1) = l$ .

Since  $L/K$  is an algebraic extension, there exists a polynomial  $f(X) \in K[X]$  of minimal degree such that  $f(l) = 0$ . Let  $\{l_1, \dots, l_r\}$  be all the roots of the polynomial  $f(X)$  in  $L$ . Then  $\sigma$  induces an injective map from  $\{l_1, \dots, l_r\}$  to itself. Since this is a finite set, the induced map must also be surjective. Hence  $l$  must be in the image of  $\sigma$ .  $\square$

**Definition 5.7.** Let  $L/K$  be a field extension. We write  $\mathbf{Aut}_K(\mathbf{L})$  for the group of  $K$ -automorphisms of  $L$ .

**Theorem 5.8.** (*Artin's Extension Theorem*)

Let  $K_1$  and  $K_2$  be two fields,  $\sigma : K_1 \rightarrow K_2$  a field isomorphism and  $f \in K_1[X]$  an irreducible polynomial. Furthermore, let  $\alpha$  be a root of  $f(X)$  in an extension  $L_1$  of  $K_1$  and  $\beta$  a root of  $\bar{\sigma}(f(X))$  in an extension  $L_2$  of  $K_2$ . Then there exists a unique isomorphism of fields

$$\tau : K_1(\alpha) \rightarrow K_2(\beta)$$

such that  $\tau(\alpha) = \beta$  and  $\tau|_{K_1} = \sigma$ . This is shown by the following diagram

$$\begin{array}{ccc} L_1 & & L_2 \\ | & & | \\ K_1(\alpha) & \xrightarrow{\tau} & K_2(\beta) \\ | & & | \\ K_1 & \xrightarrow{\sigma} & K_2 \end{array}$$

*Proof.* We note that  $\bar{\sigma}$  induces an isomorphism, which we again denote by  $\bar{\sigma}$ :

$$\bar{\sigma} : K_1[X]/(f(X)) \rightarrow K_2[X]/(\bar{\sigma}(f(X)))$$

Then the proposition follows directly from Proposition 4.5 and the following diagram

$$\begin{array}{ccccccc} L_1 & & & & & & L_2 \\ | & & & & & & | \\ K_1(\alpha) & \xrightarrow{\sim} & K_1[X]/(f) & \xrightarrow{\bar{\sigma}} & K_2[X]/(\bar{\sigma}(f)) & \xrightarrow{\sim} & K_2(\beta) \\ | & & & & & & | \\ K_1 & \xrightarrow{\sim} & & \xrightarrow{\sigma} & & \xrightarrow{\sim} & K_2 \end{array}$$

□

**Corollary 5.9.** *Let  $K_1$  and  $K_2$  be two fields and  $\sigma : K_1 \rightarrow K_2$  an isomorphism of fields. Consider a polynomial  $f \in K_1[X]$  and choose splitting fields  $L_1$  for  $f$  over  $K_1$  and  $L_2$  for  $\bar{\sigma}(f)$  over  $K_2$ . Then there exists an isomorphism*

$$\tau : L_1 \rightarrow L_2$$

such that  $\tau|_{K_1} = \sigma$ . In particular, if  $K_1 = K_2 = K$  and  $\sigma = id_K$ , we have that any two splitting fields for  $f$  over  $K$  are  $K$ -isomorphic.

*Proof.* We prove the corollary by induction on  $\deg(f)$ . If  $\deg(f) = 1$  then  $L_1 = K_1$  and  $L_2 = K_2$  and there is nothing to prove. Now assume that the corollary is true for  $\deg(f) < n$ .

Let  $f$  be a polynomial of degree  $n$ . If  $f$  is reducible then take an irreducible factor  $p$  of  $f$  in  $K_1[X]$ . Then  $\bar{\sigma}(p)$  is an irreducible factor of  $\bar{\sigma}(f)$  in  $K_2[X]$ . Now let  $M_1 \subseteq L_1$  be the splitting field of  $p$  and  $M_2 \subseteq L_2$  be the splitting field of  $\bar{\sigma}(p)$ . Then by the induction hypothesis, there is an isomorphism

$$\tau' : M_1 \rightarrow M_2$$

such that  $\tau'|_{K_1} = \sigma$ . Next we can apply the induction hypothesis to  $M_1, M_2$  and  $\tau'$  to get an isomorphism

$$\tau : L_1 \rightarrow L_2$$

such that  $\tau|_{M_1} = \tau' \implies \tau|_{K_1} = \sigma$ .

Now we consider the case where  $f$  is irreducible. Let  $\alpha$  be a root of  $f$  in  $L_1$  and  $\beta$  a root of  $\bar{\sigma}(f)$  in  $L_2$ . Then by Artin's Extension Theorem, we have that there is an isomorphism

$$\tau' : K_1(\alpha) \rightarrow K_2(\beta)$$

such that  $\tau'|_{K_1} = \sigma$ . Over the field  $K_1(\alpha)$ , the polynomial  $f$  is reducible and hence we are done by the previous case. □

**Theorem 5.10.** *Let  $\sigma : K_1 \rightarrow K_2$  be a field embedding and let  $L_1/K_1$  be a finite extension. Then for any given extension  $M/K_2$  there are at most  $[L_1 : K_1]$  distinct embeddings*

$$\tau : L_1 \rightarrow M$$

such that  $\tau|_{K_1} = \sigma$

*Proof.* We prove the theorem by induction. Let  $L_1 = K_1(\alpha_1, \dots, \alpha_r)$  for some  $\alpha_1, \dots, \alpha_r \in L_1$ . We first prove the result for  $K_1(\alpha_1)/K_1$ . Let  $f_1(X) \in K_1[X]$  be the minimal polynomial of  $\alpha_1$  over  $K_1$ . Let  $\bar{\sigma}(f_1) = f_2(X) \in K_2[X]$ . If  $f_2$  has no roots in  $M$  then there is no embedding of  $K_1(\alpha_1)$  in  $M$ . More generally, if  $\{\beta_1, \dots, \beta_m\}$  are the roots of  $f_2$  in  $M$ , then there are  $m$  embeddings  $\tau_1, \dots, \tau_m$

$$\tau_i : K_1(\alpha_1) \rightarrow M$$

such that  $\tau_i|_{K_1} = \sigma$  and  $\tau_i(\alpha_1) = \beta_i$ . Moreover, these are all the embeddings since  $\alpha_1$  has to map to a root of  $f_2$  and the image of  $\alpha_1$  determines  $\tau$ . Since  $m \leq \deg(f_1) = [K_1(\alpha_1) : K_1]$ , the theorem is true for  $K_1(\alpha_1)/K_1$ .

Now assume that the theorem is true for  $K_1(\alpha_1, \dots, \alpha_s)/K_1$  for some  $1 \leq s < r$ . Let  $L_0 = K_1(\alpha_1, \dots, \alpha_s)$  and fix an embedding  $\tau : L_0 \rightarrow M$  such that  $\tau|_{K_1} = \sigma$ . Then by what we have just proven, we have that the number of embeddings

$$\tau' : L_0(\alpha_{s+1}) \rightarrow M$$

such that  $\tau'|_{L_0} = \tau$  is less than or equal to  $[L_0(\alpha_{s+1}) : L_0]$ . Hence the number of embeddings

$$\tau : L_0(\alpha_{s+1}) \rightarrow M$$

such that  $\tau|_{K_1} = \sigma$  is less than or equal to  $[L_0(\alpha_{s+1}) : L_0][L_0 : K_1] = [L_0(\alpha_{s+1}) : K_1]$ .  $\square$

# Chapter 6

## Separable Extensions

**Definition 6.1.** Let  $f(X) \in K[X]$  be a polynomial. We say that  $f(X)$  is **separable** if it has  $\deg(f(X))$  distinct roots in every splitting field over  $K$ . If  $L/K$  is a field extension, we say that an element  $l \in L$  is **separable over  $K$**  if it is algebraic over  $K$  and its minimal polynomial  $p(X)$  is separable. We say that an extension  $L/K$  is **separable** if it is algebraic and every element of  $L$  is separable over  $K$ .

**Definition 6.2.** Let  $f(X) = c_n X^n + \cdots + c_0$  be a polynomial. We define its **derivative**  $f'(X)$  to be

$$f'(X) = nc_n X^{n-1} + (n-1)c_{n-1} X^{n-2} + \cdots + c_1$$

**Lemma 6.3.** Consider a field  $K$ , an element  $a \in K$  and a polynomial  $p(X) \in K[X]$ . Then  $a$  is a multiple root of  $p(X)$  if and only if  $p(a) = 0$  and  $p'(a) = 0$ .

*Proof.*

$\implies$  : Let  $a$  be a multiple root of  $p(X)$ . Then  $p(X) = (X - a)^n f(X)$  for some  $f(X) \in K[X]$  and  $n \geq 2$ . Obviously,  $p(a) = 0$ .

Now, by the product rule and chain rule, we see that  $p'(X) = n(X - a)^{n-1} f(X) + (X - a)^n f'(X)$ . Hence  $p'(a) = 0$ .

$\impliedby$  : Now assume that  $p(a) = 0$  and  $p'(a) = 0$  and assume that the  $a$  is not a multiple root of  $p(X)$ . Then we have that  $p(X) = (X - a)f(X)$  for some  $f(X) \in K[X]$  where  $a$  is not a root of  $f(X)$ . By the product rule, we have that  $p'(X) = f(X) + (X - a)f'(X)$ . Now,  $p'(a) = f(a)$ . But  $a$  is not a root of  $f(X)$  hence  $f(a) \neq 0$  which is a contradiction to the assumption that  $p'(a) = 0$ . Hence  $a$  must be a multiple root of  $p(X)$ . □

**Definition 6.4.** Let  $K$  be a field. We say that  $K$  is **perfect** if either  $\text{char}(K) = 0$  or  $\text{char}(K) = p$  for some prime  $p$  and the map

$$\begin{aligned}\sigma : K &\rightarrow K \\ x &\mapsto x^p\end{aligned}$$

is an isomorphism.

**Example 6.5.**  $\mathbb{F}_p = \mathbb{Z}/p\mathbb{Z}$  is a perfect field.

**Example 6.6.** Let  $\mathbb{F}_p(t)$  be the field of fractions of the polynomial ring  $\mathbb{F}_p[t]$ . Then  $\mathbb{F}_p(t)$  is not a perfect field.

**Proposition 6.7.** Let  $K$  be a perfect field and  $L/K$  a field extension. If  $l \in L$  is algebraic over  $K$  then all the roots of the minimal polynomial of  $l$  over  $K$  are simple.

*Proof.* Let  $f(X) \in K[X]$  be the minimal polynomial of  $l$  over  $K$ . Then  $f(X)$  is irreducible over  $K$ . We also note that  $\deg(f) > \deg(f')$ . Now let  $a$  be a root of  $f$ . By the previous lemma, we have that  $a$  is a multiple root if and only if  $f'(a) = 0$ . Since  $f$  is irreducible over  $K$ ,  $f$  must also be the minimal polynomial of  $a$  over  $K$ . If  $f'(a) = 0$ , then  $f(X) \mid f'(X)$ . But as  $\deg(f) > \deg(f')$ , we must have that  $f'(X) = 0$ . This is not possible in a characteristic 0 field. Hence if  $\text{char}(K) = 0$ ,  $f'(a) \neq 0$  and all roots of  $f$  are simple roots.

If  $\text{char}(K) = p$  and  $f'(a) = 0$  then  $f'(X) = 0$ . In this case, we can see that  $f(X) = h(X^p)$  for some  $h(X) \in K[X]$ . Let  $h(X) = a_n X^n + \cdots + a_0$ . Since  $K$  is a perfect field of characteristic  $p$  there exists  $b_i \in K$  such that  $a_i = b_i^p$  for all  $0 \leq i \leq n$ . Hence

$$\begin{aligned}f(X) &= h(X^p) = a_n X^{np} + \cdots + a_0 \\ &= b_n^p X^{np} + \cdots + b_0^p \\ &= (b_n X^n + \cdots + b_0)^p\end{aligned}$$

□

But this cannot happen since  $f(X)$  is irreducible. Hence  $f'(a) \neq 0$  and all the roots of  $f$  are simple roots.

**Corollary 6.8.** Every algebraic extension of a perfect field is separable.

*Proof.* Let  $L/K$  be an algebraic extension and  $K$  a perfect field. Then every  $l \in L$  is algebraic over  $K$ . By the previous proposition, we have that the minimal polynomial of  $l$  over  $K$  has no repeated roots. Hence every element of  $L$  is separable over  $K$  and  $L/K$  is a separable extension.  $\square$

**Theorem 6.9.** *Let  $L = K(\alpha_1, \dots, \alpha_n)$  be a finite extension of  $K$ . Let  $f_i$  be the minimal polynomial of  $\alpha_i$  over  $K$ . Let  $\sigma : K \rightarrow K_1$  be an isomorphism of fields and  $M$  an extension of  $K_1$ . Assume that  $\bar{\sigma}(f_i)$  splits completely in  $M$  for every  $1 \leq i \leq n$ . Then  $L/K$  is separable if and only if the number of embeddings  $\tau : L \rightarrow M$  such that  $\tau|_K = \sigma$  is equal to  $[L : K]$ .*

*Proof.*

$\implies$  : Assume that  $L/K$  is separable. By Theorem 5.10, we know that the number of embeddings  $\tau$  such that  $\tau|_K = \sigma$  is less than or equal to  $[L : K]$ . We must show equality. We first show the result for  $K(\alpha_1)/K$ . As  $L/K$  is separable, the minimal polynomial  $f_1$  of  $\alpha_1$  has simple roots. Hence  $\bar{\sigma}(f_1)$ , which we denote by  $g_1$ , has simple roots in  $M$ . Let  $\{\beta_1, \dots, \beta_m\}$  be the roots of  $g_1$  in  $M$ . Then  $r = \deg(g_1) = \deg(f_1)$ . For every  $1 \leq j \leq r$ , there is an embedding

$$\tau_j = K(\alpha_1) \rightarrow M$$

such that  $\tau_j|_K = \sigma$  and  $\tau_j(\alpha_1) = \beta_j$ . Moreover,  $\tau_j \neq \tau_{j'}$  if  $j \neq j'$ . Hence we have  $[K(\alpha_1) : K] = \deg(f_1) = r$  embeddings of  $K(\alpha_1)$  in  $M$  whose restriction to  $K$  is  $\sigma$ .

Now assume that the result is true for  $K(\alpha_1, \dots, \alpha_s)/K$  for some  $1 \leq s < n$ . Denote  $L_0 = K(\alpha_1, \dots, \alpha_s)$ . Now fix an embedding  $\tau : L_0 \rightarrow M$  such that  $\tau|_K = \sigma$ . Let  $p(X)$  be the minimal polynomial of  $\alpha_{s+1}$  over  $L_0$ . Then  $p(X)|_{f_{s+1}(X)}$ . Since  $f_{s+1}(X)$  has simple roots,  $p(X)$  must also have simple roots. Hence  $\bar{\tau}(p)$  must have simple roots. As all the roots of  $\bar{\tau}(f_{s+1}) = \bar{\sigma}(f_{s+1})$  are in  $M$ , all the roots of  $\bar{\tau}(p)$  are also in  $M$ . Hence by the first part, the number of embeddings  $\tau' : L_0(\alpha_{s+1}) \rightarrow M$  such that  $\tau'|_{L_0} = \tau$  is equal to  $[L_0(\alpha_{s+1}) : L_0] = \deg(p)$ . Hence the number of embeddings  $\tau' : L_0 \rightarrow M$  such that  $\tau'|_K = \sigma$  is equal to  $[L_0(\alpha_{s+1}) : L_0][L_0 : K] = [L_0(\alpha_{s+1}) : K]$ .

$\impliedby$  : Now assume that the number of embeddings  $\tau : L \rightarrow M$  such that  $\tau|_K = \sigma$  is equal to  $[L : K]$ . We want to show that  $L/K$  is separable. Consider  $l \in L$  and let  $f(X) \in K[X]$  be the minimal polynomial of  $l$  over  $K$ .



Let  $g = \bar{\sigma}(f) \in M[X]$ .  $f$  has simple roots if and only if  $g$  has simple roots. By Theorem 5.10, the number of embeddings

$$\tau' : K(l) \rightarrow M$$

such that  $\tau'|_K = \sigma$  is less than or equal to  $[K(l) : K]$ . Once we fix such a  $\tau'$  and apply Theorem 5.10 again, we get that the number of embeddings

$$\tau : L \rightarrow M$$

such that  $\tau|_{K(l)} = \tau'$  is less than or equal to  $[L : K(l)]$ . Hence the number of

$$\tau : L \rightarrow M$$

such that  $\tau|_K = \sigma$  is less than or equal to  $[L : K(l)][K(l) : K] = [L : K]$ . But by hypothesis, this number is equal to  $[L : K]$ . Hence the number of  $\tau$ 's as above should be equal to  $[K(l) : K] = \deg(f) = \deg(g)$ . As each map  $\tau'$  maps  $l$  to a root of  $g$  and different  $\tau$ 's maps  $l$  to distinct roots of  $g$ , we have that  $g$  has  $\deg(g)$  distinct roots in  $M$ . Hence all the roots of  $g$  are simple which implies that all the roots of  $f$  are simple and hence  $l$  is separable over  $K$ .

□

**Corollary 6.10.** *Let  $L/K$  be a field extension and  $l \in L$  separable over  $K$ . Then  $K(l)/K$  is a separable extension.*

**Corollary 6.11.** *Let  $L/K$  be a field extension. Then*

$$M = \{l \in L \mid l \text{ is separable over } K\}$$

*is a field.*

**Proposition 6.12.** *Let  $K \subseteq L \subseteq M$  be fields. Then  $L/K$  and  $M/L$  are separable if and only if  $M/K$  is separable.*

*Proof.*  $\implies$  : Assume that  $L/K$  and  $M/L$  are separable. Let  $m \in M$ . We want to show that  $m$  is separable over  $K$ . Let  $p(X) = \sum_{i=0}^n l_i X^i \in L[X]$  be the minimal polynomial of  $m$  over  $L$ . Let  $L_0 = K(l_1, \dots, l_n)$ . Then  $L_0/K$  is a separable finite extension. Let  $M_0 = L_0(m)$ . The minimal polynomial of  $m$  over  $L_0$  is  $p(X)$ . Hence  $M_0/L_0$  is a separable finite extension. Let  $E$  be

an extension of  $K$  which contains all the conjugates of each  $l_i$  and  $m$ . Then by Theorem 6.9, the number of embeddings

$$\tau : L_0 \rightarrow E$$

such that  $\tau|_K = id_K$  is equal to  $[L_0 : K]$ . Once we fix such an embedding  $\tau$ , the number of embeddings

$$\tau' : M_0 \rightarrow E$$

such that  $\tau'|_{L_0} = \tau$  is equal to  $[M_0 : L_0]$ . Hence the number of embeddings

$$\tau' : M_0 \rightarrow E$$

such that  $\tau'_K = id_K$  is equal to  $[M_0 : L_0][L_0 : K] = [M_0 : K]$ . Hence by Theorem 6.9, we have that  $M_0/K$  is separable.

$\Leftarrow$  : Let  $M/K$  be separable. We want to show that  $L/K$  and  $M/L$  are separable. Since every  $l \in L$  is also an element of  $M$ ,  $l$  is separable over  $K$  by assumption, hence  $L/K$  is separable. Now since every  $m \in L$  is separable over  $K$ , it must also be separable over  $L$ .

□

# Chapter 7

## Algebraic Closure and Primitive Element Theorem

**Definition 7.1.** A field  $K$  is called **algebraically closed** if every polynomial  $f(X) \in K[X]$  of degree greater than or equal to 1 has a root in  $K$ .

**Definition 7.2.** Let  $L/K$  be a field extension. If  $L$  is algebraic over  $K$  and is algebraically closed, we say that  $L$  is an **algebraic closure** of  $K$ . An algebraic closure of  $K$  is denoted by  $\overline{K}$ .

**Proposition 7.3.** Let  $K$  be a field. Then there exists a field extension  $E/K$  such that  $E$  is algebraically closed.

*Proof.* Let  $S = \{f \in K[X] \mid f \text{ is irreducible over } K\}$ . Let  $X_f$  be an indeterminate indexed by  $f \in S$ . Denote  $K[S] = K[X_f : f \in S]$  the polynomial ring with infinitely many variables. Now let  $I$  be an ideal of  $K[S]$  generated by each  $f(X_f)$ . We claim that  $I$  is not the whole ring. Suppose that  $I$  is the whole ring. Then  $1 \in I$ . We therefore have that

$$1 = \sum_{i=1}^n g_i f_i(X_{f_i})$$

Rename, for efficiency,  $X_{f_i}$  to  $X_i$  and assume that only  $X_1, \dots, X_n$  appear in the equation. Now let  $L$  be a splitting field of  $f_1(X_1), \dots, f_n(X_n)$  and  $\alpha_i \in L$  a root of  $f_i(X_i)$ . Setting  $X_i = \alpha_i$  in the equation above, we see that  $1 = 0$ , an obvious contradiction. Hence  $I$  cannot equal the whole ring.

Now consider  $\mathfrak{m}$  a maximal ideal of  $K[S]$  containing  $I$ . Let  $E_1 = K[S]/\mathfrak{m}$ .

Then  $E_1$  is an extension of  $K$  and it contains all roots of any non-constant polynomial in  $K[X]$ . We can apply the same process to  $E_1$  to obtain an extension  $E_2/E_1$  which contains all roots of any non-constant polynomial in  $E_1[X]$  and so on. We get a sequence of fields

$$K \subseteq E_1 \subseteq E_2 \subseteq \dots$$

Letting  $E = \bigcup_{i \geq 1} E_i$ , we see that  $E$  has the structure of a field. Consider any non-constant polynomial  $f(X) \in E[X]$ . Then  $f(X) \in E_n[X]$  for some  $n$ . Hence  $f(X)$  has a root in  $E_{n+1} \subseteq E$ . Thus,  $E$  is algebraically closed.  $\square$

**Theorem 7.4.** *Let  $K$  be a field. Then the algebraic closure  $\overline{K}$  of  $K$  exists.*

*Proof.* Let  $E/K$  be the extension constructed in the previous proposition and let  $\overline{K} = \{a \in E \mid a \text{ is algebraic over } K\}$ . Then  $\overline{K}/K$  is algebraic. Let  $a \in E$  be algebraic over  $\overline{K}$  and  $f(X) = \min_{a, \overline{K}}(X)$ . Let  $L$  be a finite extension of  $K$  containing  $f(X)$  (for example, take  $L$  to be the field generated by the coefficients of  $f$ ). Then  $a$  is algebraic over  $L$ . Hence  $L(a)$  is a finite extension of  $L$  and therefore a finite extension of  $K$ . Hence  $a$  is algebraic over  $K$  i.e.  $a \in \overline{K}$ . Therefore,  $\overline{K}$  is algebraically closed.  $\square$

**Definition 7.5.** *Let  $L/K$  be a finite extension. Then  $L/K$  is called a **simple extension** if  $L = K(\alpha)$  for some  $\alpha \in L$ . In this case, we say that  $\alpha$  is a **primitive element**.*

**Proposition 7.6.** *Let  $L/K$  be a finite extension. Then  $L$  is simple if and only if there are only finitely many fields  $F_i$  such that  $K \subseteq F_1 \subseteq \dots \subseteq F_n \subseteq L$  for some  $n \in \mathbb{N}$ .*

*Proof.* If  $K$  is a finite field then since  $L/K$  is a finite extension, we see that  $L$  is also finite. But then it is obvious that there are only finitely many fields between  $K$  and  $L$ .

Now since  $L$  is finite, it follows that  $L^\times$  is a finite abelian group. Let  $m$  be the lowest common multiple of all elements in  $L^\times$ . Then  $l^m = 1$  for all  $l \in L^\times$ . Hence all elements of  $L^\times$  are roots of the polynomial  $X^m - 1$ . This polynomial can have at most  $m$  roots hence  $m \geq |L^\times|$ . Now consider the subgroup of  $L^\times$  generated by some element of order  $m$ . By Lagrange's theorem, we have that  $m$  divides  $|L^\times|$ . Hence  $m = |L^\times|$ . This implies that  $L^\times$  is cyclic. Therefore  $L$  is generated by a single element which is exactly what it means for  $L$  to be simple.

We now assume that  $K$  is an infinite field.

$\implies$  : Assume that  $L$  is simple i.e  $L = K(\alpha)$ . Let  $f(X)$  be the minimal polynomial of  $\alpha$  over  $K$ . Now let  $K \subseteq F \subseteq L$  and  $g(X)$  be the minimal polynomial of  $\alpha$  over  $F$ . Then  $g(X)|f(X)$ . Let  $F_0$  be the subfield of  $F$  generated over  $K$  by the coefficients of  $g(X)$ . Then  $L = K(\alpha) = F(\alpha) = F_0(\alpha)$  and  $g(X)$  is irreducible over  $F_0$ . Therefore we have that  $g(X) = \min_{\alpha, F_0}(X)$ . Hence  $[L : F_0] = [L : F] = \deg(g(X))$  which implies that  $F = F_0$ . We therefore have an injective map between the subfields of  $L$  containing  $K$  into the set of monic divisors of  $f(X)$ . Since the latter set is finite, we have that the former set is also finite.

$\impliedby$  : Now suppose that there are only finitely many fields between  $L$  and  $K$ . We want to show that given any  $a, b$  in  $L$ , there exists a  $\alpha \in L$  such that  $K(a, b) = K(\alpha)$ . We shall show this by induction.

Assume that  $L = K(a, b)$  and consider all fields of the form  $K(a + cb)$  for all  $c \in K$ . Since there are infinitely many elements of  $L$  and only finitely many intermediate fields, there must exist distinct elements  $c, c' \in K$  such that  $K(a + cb) = K(a + c'b)$ . Let  $\alpha_1 = a + cb$  and  $\alpha_2 = a + c'b$ . Then  $K(\alpha_1) = K(\alpha_2)$  so  $\alpha_2 \in K(\alpha_1)$ . Hence  $\alpha_1 - \alpha_2 = (c - c')b \in K(\alpha_1)$ . Therefore  $b \in K(\alpha_1)$  and  $\alpha_1 - cb = \alpha \in K(\alpha_1)$ . Thus,  $L = K(a + cb)$ .

Now assume that the proposition is true for extensions  $L = K(a_1, \dots, a_n)$ . Consider  $L = K(a_1, \dots, a_{n+1})$  Then  $L = K(a_1, \dots, a_{n+1}) = K(a_1, \dots, a_n)(a_{n+1})$ . By the induction hypothesis, we can show that there is an  $a \in K(a_1, \dots, a_n)$  such that  $K(a_1, \dots, a_n) = K(a)$ . Hence we have that  $L = K(a)(a_{n+1}) = K(a, a_{n+1})$ . By the basis case, we can find a  $b \in K(a, a_{n+1})$  such that  $K(a, a_{n+1}) = K(b)$ . hence  $L = K(b)$  and  $L$  is a simple extension. □

**Theorem 7.7.** (*Primitive Element Theorem*)

Let  $L/K$  be a finite separable extension. Then  $L$  is a simple extension of  $K$ .

*Proof.* If  $K$  is finite then, from the previous proposition, we have that  $L/K$  is simple and we are done. Hence assume that  $K$  is infinite. It suffices to consider the case when  $L = K(a, b)$  and the generalisation will follow from induction.

Let  $n = [L : K]$ . Then since  $L/K$  is a separable extension, we have that there exists  $n$  distinct  $K$ -embeddings of  $L$  into  $\overline{K}$ . Now suppose that there exists  $c \in L$  such that  $L = K(a + cb)$ . Then  $a + cb$  must have  $n$  distinct conjugates which are exactly the images of  $a + cb$  under the action of the  $n$   $K$ -embeddings of  $L$ . We denote these embeddings by  $\sigma_1, \dots, \sigma_n$ . These

embeddings map  $a + cb$  to the roots of the polynomial  $p(x) = \min_{a+cb, K}(X)$  in  $\overline{K}$ . Hence  $a + cb$  is a primitive element if and only if there exists  $n$   $K$ -embeddings of  $L$  such that  $\sigma_i(a + cb) \neq \sigma_j(a + cb)$  for all  $i \neq j$ . This is equivalent to saying that

$$\prod_{i \neq j}^n (\sigma_i(a) - \sigma_j(a) - c(\sigma_i(b) - \sigma_j(b))) \neq 0$$

Now this is equivalent to saying that  $c$  is not a root of the following polynomial

$$f(X) = \prod_{i \neq j}^n (\sigma_i(a) - \sigma_j(a) - X(\sigma_i(b) - \sigma_j(b)))$$

Since  $K$  is infinite and  $f(X)$  has finitely many roots, we can easily find such a  $c$ . Hence  $a + cb$  is a primitive element and thus  $L = (a + cb)$ .  $\square$

# Chapter 8

## Normal Extensions

**Definition 8.1.** Let  $L/K$  be a field extension. Then  $L/K$  is called **normal** if it is algebraic and for every  $l \in L$ , the minimal polynomial of  $l$  over  $K$  splits completely over  $L$ .

**Example 8.2.**  $\mathbb{Q}(\sqrt{2})/\mathbb{Q}$  is normal.

**Proposition 8.3.** Let  $K$  be a field and  $f(X) \in K[X]$ . Then a splitting field of  $f$  is a normal extension of  $K$ .

*Proof.* Let  $L$  be a splitting field of  $f$  and  $\alpha_1, \dots, \alpha_r$  the roots of  $f$ . Hence  $L = K(\alpha_1, \dots, \alpha_r)$ . Let  $l \in L$  and  $p(X)$  be the minimal polynomial of  $l$  over  $K$ . Let  $M$  be a splitting field of  $p(X)$  over  $L$ . Let  $l' \in M$  be a root of  $p(X)$ . We must show that  $l' \in L$ . There is a unique isomorphism

$$\tau : K(l) \rightarrow K(l')$$

such that  $\tau(l) = l'$  and  $\tau|_K = id_K$ . By Artin's Extension Theorem, we may extend  $\tau$  to  $\tau' : L \rightarrow M$  such that  $\tau'|_{K(l)} = \tau$ . We can find such an extension as follows.

Assume that we have an extension  $\tau' : K(l, \alpha_1, \dots, \alpha_s) \rightarrow M$  for some  $1 \leq s < r$ . Let  $g(X)$  be the minimal polynomial of  $\alpha_{s+1}$  over  $K(l, \alpha_1, \dots, \alpha_s)$ . Then  $g(X)|f(X)$  and hence  $\tau'(g)|\tau'(f) = f$ . Since  $f$  splits completely in  $L$ , so does  $\tau'(g)$ . Let  $\alpha'_{s+1}$  be a root of  $\tau'(g)$  in  $M$ . Then there is an extension

$$\tau'' : K(l, \alpha_1, \dots, \alpha_{s+1}) \rightarrow M$$

such that  $\tau''|_{K(l, \alpha_1, \dots, \alpha_s)} = \tau'$  and  $\tau''(\alpha_{s+1}) = \alpha'_{s+1}$ .

We therefore have an embedding  $\tau' : L \rightarrow M$  such that  $\tau'|_K = id_K$  and

$\tau'(l) = l'$ . We now claim that  $\tau'(L) = L$ . Note that  $\tau'$  is determined by where it sends  $\alpha_i$ 's.  $\tau'(\alpha_i)$  must be a root of  $\bar{\tau}'(f) = f$ . Hence  $\tau'(\alpha_i) \in \{\alpha_1, \dots, \alpha_r\}$  for each  $i$ . Hence  $\tau'(L) \subseteq L$  and by Proposition 5.6  $\tau'(L) = L$ . Hence  $l' \in L$  and  $p(X)$  splits completely in  $L$ .  $\square$

**Theorem 8.4.** *Let  $L/K$  be an algebraic extension. Then  $L/K$  is normal if and only if for any extension  $M$  of  $L$  and for any  $K$ -embedding,  $\tau : L \rightarrow M$  maps  $L$  to itself.*

*Proof.*

$\implies$  : Assume that  $L/K$  is normal and let  $\tau : L \rightarrow M$  be an embedding. Let  $l \in L$  and  $f(X) \in K[X]$  be the minimal polynomial of  $l$  over  $K$ . Then  $L$  contains all the roots of  $f(X)$ . Also note that  $\tau(l)$  is a root of  $\bar{\tau}(f) = f$ . Hence  $\tau(l) \in L$ . Now by Proposition 5.6,  $\tau(L) = L$ .

$\impliedby$  : Assume that for any extension  $M$  of  $L$  and any  $K$ -embedding,  $\tau : L \rightarrow M$  maps  $L$  to itself. We take  $M$  to be an algebraic closure of  $K$ . Let  $l \in L$  and  $f(X) \in K[X]$  be the minimal polynomial of  $l$  over  $K$ . We must show that  $f(X)$  splits completely in  $L$ . Let  $l' \in \bar{K}$  be a root of  $f(X)$ . Then by Artin's Extension Theorem, there is a unique isomorphism  $\tau : K(l) \rightarrow K(l')$  such that  $\tau|_K = id_K$  and  $\tau(l) = l'$ . We claim that we can extend  $\tau$  to an embedding  $\tau' : L \rightarrow M$ . Let  $E$  be the maximal subfield of  $L$  containing  $K(l)$  such that  $\tau$  can be extended to an embedding  $\tau' : E \rightarrow \bar{K}$ . If  $E \neq L$ , take  $\alpha \in L - E$  and let  $p(X)$  be the minimal polynomial of  $\alpha$  over  $K$ . Then  $p(X)$  splits completely in  $\bar{K}$ . Let  $g(X)$  be the minimal polynomial of  $\alpha$  over  $E$ . Then  $\bar{\tau}'(g)$  splits completely in  $\bar{K}$ . Let  $\alpha' \in \bar{K}$  be a root in  $\bar{\tau}'(g)$ . Then by Artin's Extension Theorem, we get

$$\tau'' : E(\alpha) \rightarrow \tau'(E)(\alpha') \subseteq \bar{K}$$

such that  $\tau''|_E = \tau'$  i.e we get an extension of  $\tau$  to  $E(\alpha)$ . By maximality of  $E$ ,  $\alpha \in E$  which is a contradiction. Hence  $E = L$ . As  $\tau(L) = L$  by hypothesis, we get  $\tau(l) = l' \in L$ .  $\square$

**Proposition 8.5.** *Let  $K \subseteq L \subseteq M$  be fields. If  $M/K$  is normal then so is  $M/L$ . Let  $f(X) \in L[X]$  be an irreducible polynomial with a root  $l \in M$ . Let  $g(X) \in K[X]$  be the minimal polynomial of  $l$  over  $K$ . Then  $f(X)|g(X)$ . As  $M/K$  is normal,  $g$  splits completely in  $M[X]$ . Hence  $f(X)$  splits completely in  $M[X]$ .*



# Chapter 9

## Galois Extensions

**Definition 9.1.** A field extension  $L/K$  is called **Galois** if it is normal and separable. The group  $\text{Aut}_K(L)$  of  $K$ -automorphisms of  $L$  is called the **Galois group** of  $L/K$  and is denoted by  $\text{Gal}(L/K)$ .

**Proposition 9.2.** Let  $K \subseteq L \subseteq M$  be fields. If  $M/K$  is a Galois extension then so is  $M/L$ .

**Definition 9.3.** Let  $L/K$  be an extension and let  $H$  a subgroup of  $\text{Gal}(L/K)$ . Then the **fixed field** of  $H$  in  $L$  is defined to be

$$L^H := \{l \in L \mid h(l) = l \forall h \in H\}$$

**Remark.** Clearly,  $L^H$  is an intermediate extension of  $L/K$  and  $L/L^H$  is a Galois extension.

# Chapter 10

## Fundamental Theorem of Galois Theory

**Lemma 10.1.** (*Zorn's Lemma*)

Let  $S$  be a non-empty partially ordered set. Assume that every chain in  $S$  has an upper bound i.e if  $s_1 \leq s_2 \leq \dots$  is a chain in  $S$  then there exists  $s \in S$  such that  $s_i \leq s$  for all  $i$ . Then  $S$  has a maximal element, say  $s$ , such that there is no  $s' \in S$  with  $s < s'$ .

**Proposition 10.2.** Let  $L/K$  be a normal extension. Let  $K \subseteq M \subseteq L$  be an intermediate extension. Then any  $K$ -embedding  $\tau : M \rightarrow L$  can be extended to a  $K$ -automorphism of  $L$ .

*Proof.* Assume that  $E$  is the maximal extension of  $M$  contained in  $L$  such that  $\tau$  extends to an embedding of  $\tau' : E \rightarrow L$ . The existence of such an extension is guaranteed by Zorn's Lemma as follows.

Let  $S$  be the set of all pairs  $(E, \tau')$  such that  $M \subseteq E \subseteq L$  is an intermediate extension and  $\tau' : E \rightarrow L$  is an embedding such that  $\tau'|_M = \tau$ . Then  $S$  is non-empty because  $(M, \tau) \in S$ . The partial ordering on  $S$  is given as follows

$$(E_1, \tau'_1) \leq (E_2, \tau'_2)$$

if

$$E_1 \subseteq E_2, \tau'_2|_{E_1} = \tau'_1$$

Let  $\{(E_i, \tau'_i)\}$  be a chain in  $S$ . Let  $E = \bigcup_i E_i$ . There is an embedding  $\tau' : E \rightarrow L$ , defined as  $\tau'(e) = \tau'_i(e)$  if  $e \in E_i$ . With this definition,  $(E, \tau')$  is

an upper bound of the chain. Hence  $S$  has a maximal element.

We now claim that  $E = L$ . Let  $\alpha \in L$  and consider  $E(\alpha)$ . Let  $p(X) \in K[X]$  be the minimal polynomial of  $\alpha$  in  $K$  and let  $f(X) \in E[X]$  be the minimal polynomial of  $\alpha$  over  $E$ . Since  $L/K$  is normal,  $L/E$  is also normal and hence both  $p(X)$  and  $f(X)$  split completely over  $L$ . We note that  $\bar{\tau}'(f)|p(X)$  and hence  $\bar{\tau}'(f)$  splits completely in  $L$ . Let  $\alpha' \in L$  be any root of  $\bar{\tau}'(f)$ . By Artin's Extension Theorem,  $\tau'$  extends to an isomorphism

$$\tau'' : E(\alpha) \rightarrow \tau'(E)(\alpha') \subseteq L$$

As  $\tau''|_M = \tau'|_M = \tau$ , by maximality of  $E$ ,  $E = E(\alpha)$ . Hence  $\alpha \in L$ . Since  $\alpha$  was an arbitrary element of  $L$ ,  $L \subseteq E$ . Hence  $L = E$  and we are done.  $\square$

**Proposition 10.3.** *Let  $L$  be a field and  $G$  the group of automorphisms of  $L$ . Consider the fixed field  $K = L^G$ . Then  $L/K$  is Galois with  $\text{Gal}(L/K) = G$  and thus  $[L : K] = |G|$*

*Proof.* Let  $\alpha \in L$ . We find a separable polynomial in  $K[X]$  with  $\alpha$  as one of its roots. Let  $\{\sigma_1, \dots, \sigma_r\}$  be a maximal set of elements of  $G$  such that  $\sigma_1(\alpha), \dots, \sigma_r(\alpha)$  are all distinct. Then for any  $\tau \in G$

$$(\tau\sigma_1(\alpha), \dots, \tau\sigma_r(\alpha))$$

is a permutation of

$$(\sigma_1(\alpha), \dots, \sigma_r(\alpha))$$

Indeed, if it is not a permutation then the maximality of  $\{\sigma_1, \dots, \sigma_r\}$  is contradicted.

Now consider the polynomial

$$f(X) = \prod_{i=1}^r (X - \sigma_i(\alpha))$$

It is obviously separable as each  $\sigma_i(\alpha)$  is distinct and has  $\alpha$  as a root since  $G$  is a group and hence one of the  $\sigma_i$  must be the identity mapping. We can also see that given any  $\tau \in G$ ,  $\bar{\tau}(f) = f$ . Therefore  $f(X) \in K[X]$ . Hence every  $\alpha \in L$  is a root of a separable polynomial of degree less than or equal to  $|G|$  over  $K$  meaning that  $L$  is separable. Moreover, these polynomials obviously split completely over  $L$  and hence  $L$  is a normal extension. Therefore,  $L/K$

is a Galois extension.

We now show that  $[L : K] = |G|$ . Let  $n = |G|$  and  $G = \{\sigma_1, \dots, \sigma_r\}$ . Assume that  $\{l_1, \dots, l_{n+1}\} \subseteq L$  is linearly independent over  $K$ . Now consider the system of equations

$$\begin{aligned} \sigma_1(l_1)X_1 + \dots + \sigma_1(l_{n+1})X_{n+1} &= 0 \\ \vdots \\ \sigma_n(l_1)X_1 + \dots + \sigma_n(l_{n+1})X_{n+1} &= 0 \end{aligned} \tag{10.1}$$

Assume that  $\vec{\alpha} = (\alpha_1, \dots, \alpha_r, 0, \dots, 0)$  is a solution of these equations with minimal  $r$  and fix  $\sigma \in G$ .  $(\sigma\sigma_1, \dots, \sigma\sigma_n)$  is just a permutation of  $(\sigma_1, \dots, \sigma_n)$ . Therefore the system of equations

$$\begin{aligned} \sigma\sigma_1(l_1)\sigma(\alpha_1) + \dots + \sigma\sigma_1(l_r)\sigma(\alpha_r) &= 0 \\ \vdots \\ \sigma\sigma_n(l_1)\sigma(\alpha_1) + \dots + \sigma\sigma_n(l_r)\sigma(\alpha_r) &= 0 \end{aligned}$$

can be written, up to permutation of the equations, as

$$\begin{aligned} \sigma_1(l_1)\sigma(\alpha_1) + \dots + \sigma_1(l_r)\sigma(\alpha_r) &= 0 \\ \vdots \\ \sigma_n(l_1)\sigma(\alpha_1) + \dots + \sigma_n(l_r)\sigma(\alpha_r) &= 0 \end{aligned} \tag{10.2}$$

Let  $(10.1)(\vec{\alpha})$  denote the equations in (10.1) evaluated at  $\vec{\alpha}$  then taking  $\alpha_r(10.2) - \sigma(\alpha_r)(1)(\vec{\alpha})$

$$\begin{aligned} \sigma_1(l_1)(\alpha_r\sigma(\alpha_1) - \alpha_1\sigma(\alpha_r)) + \dots + \sigma_1(l_{r-1})(\alpha_r\sigma(\alpha_{r-1}) - \alpha_{r-1}\sigma(\alpha_r)) &= 0 \\ \vdots \\ \sigma_n(l_1)(\alpha_r\sigma(\alpha_1) - \alpha_1\sigma(\alpha_r)) + \dots + \sigma_n(l_{r-1})(\alpha_r\sigma(\alpha_{r-1}) - \alpha_{r-1}\sigma(\alpha_r)) &= 0 \end{aligned}$$

This is a solution of (10.1) with fewer non-zero terms. Therefore, all the terms must be zero. We thus have that  $\alpha_r\sigma(\alpha_i) = \alpha_i\sigma(\alpha_r)$  for all  $i \leq r - 1$ . This is equivalent to having  $\sigma(\alpha_i\alpha_r^{-1}) = \alpha_i\alpha_r^{-1}$  for all  $i \leq r - 1$ .

Now since  $\sigma$  is an arbitrary K-automorphism in G, we must have that  $m_i := \alpha_i\alpha_r^{-1} \in K$  for all  $i \leq r - 1$ . Hence  $\alpha_i = m_i\alpha_r$  for all  $i \leq r$ . Then equation

(10.1), evaluated at  $\vec{\alpha}$  gives

$$\begin{aligned} 0 &= \sigma_1(l_1)\alpha_1 + \cdots + \sigma_1(l_r)\alpha_r \\ &= (\sigma(l_1)m_1 + \cdots + \sigma_1(l_r)m_r)\alpha_r \\ &= \sigma_1(l_1m_1 + \cdots + l_rm_r)\alpha_r \end{aligned}$$

Since  $\alpha_r$  is not 0 by construction, we must have that  $\sigma_1(l_1m_1 + \cdots + l_rm_r) = 0$ . Now since  $\sigma$  is an isomorphism, its kernel is trivial hence  $l_1m_1 + \cdots + l_rm_r = 0$ . But this is a contradiction to the assumption that  $\{l_1, \dots, l_r\}$  are linearly independent over  $K$ . Hence  $[L : K] \leq n$ .

Now Theorem 5.10 implies that  $[L : K] \geq n$ . Thus we must have that  $[L : K] = n$ .  $\square$

**Theorem 10.4.** (*Fundamental Theorem of Galois Theory for Finite Extensions*)

Let  $L/K$  be a finite Galois extension,  $H$  a subgroup of  $\text{Gal}(L/K)$  and  $E$  an intermediate field of  $L/K$ . Then

1. the maps

$$\begin{aligned} H &\mapsto L^H \\ E &\mapsto \text{Gal}(L/E) \end{aligned}$$

are mutually inverse, inclusion reversing bijections between the subgroups of  $\text{Gal}(L/K)$  and the intermediate fields of  $L/K$ .

2.  $L^H/K$  is Galois if and only if  $H$  is a normal subgroup of  $\text{Gal}(L/K)$ . In this case, the restriction map

$$\begin{aligned} \text{Gal}(L/K) &\rightarrow \text{Gal}(L^H/K) \\ \sigma &\mapsto \sigma|_{L^H} \end{aligned}$$

induces an isomorphism of groups  $\text{Gal}(L/K)/H \rightarrow \text{Gal}(L^H/K)$ .

*Proof.* Part 1: We first show that the mappings are inclusion reversing. Let  $K \subseteq F_1 \subseteq F_2 \subseteq L$  and  $G_i = \text{Gal}(L/F_i)$ . If  $\sigma \in G_2$  then  $\sigma$  fixes  $F_2$ . Since  $F_1 \subseteq F_2$ , we have that  $\sigma$  fixes  $F_1$  and hence  $\sigma \in G_1$ .

Now let  $H_1 \subseteq H_2 \subseteq \text{Gal}(L/K)$  and  $F_i = L^{H_i}$ . If  $x \in F_2$  then  $\sigma(x) = x$  for all  $\sigma \in H_2$ . Since  $H_1 \subseteq H_2$ , we have that  $\sigma(x) = x$  for all  $\sigma \in H_1$ . Hence  $x \in F_1$ . Therefore the maps are inclusion reversing.

We now show that the map  $E \mapsto \text{Gal}(L/E)$  is injective. Let  $G = \text{Gal}(L/K)$ . We shall first prove that  $L^G = K$ . It is clear that  $K \subseteq L^G$ . Let  $\alpha \in L^G$  and consider the extension  $K(\alpha)/K$ . Let  $f(X) \in K[X]$  be the minimal polynomial of  $\alpha$  over  $K$ . Since  $L$  is normal,  $f(X)$  splits completely in  $L[X]$  and since it is also separable, all the roots of  $f$  are simple roots. If  $\deg(f) > 1$  then let  $\alpha' \neq \alpha$  be another root of  $f(X)$ . Then there is a  $K$ -isomorphism

$$\tau : K(\alpha) \rightarrow K(\alpha')$$

Since  $L$  is a normal extension of  $K$  containing both  $K(\alpha)$  and  $K(\alpha')$ , this isomorphism  $\tau$  can be extended to a  $K$ -automorphism, say  $\tau'$ , of  $L$ . Hence  $\tau'$  is an element of  $G$ . Since  $\alpha \in L^G$ ,  $\alpha = \tau'(\alpha) = \tau(\alpha)$ . But  $\tau(\alpha) = \alpha'$  by construction. By assumption,  $\alpha \neq \alpha'$  hence this is a contradiction and  $\deg(f) = 1$  and  $\alpha \in K$ . Hence  $L^G = K$ . Now let  $E$  and  $E'$  be two intermediate fields of  $L/K$  such that  $H := \text{Gal}(L/E) = \text{Gal}(L/E') =: H'$ . By the result we have just shown, we have that  $E = L^H = L^{H'} = E'$ . Therefore  $E \mapsto \text{Gal}(L/E)$  is an injective mapping.

We now show that  $E \mapsto \text{Gal}(L/E)$  is a surjective mapping. We have to prove that for every subgroup of the Galois group of  $L/K$ , there exists a fixed field of  $L/K$  that maps to it. Let  $H \subseteq G$  be a subgroup of the Galois group of  $L/K$ . Then by Proposition 10.4,  $L/L^H$  is a Galois extension with Galois group  $H$ . Hence the mapping  $E \mapsto \text{Gal}(L/E)$  is surjective.

Part 2:

$\implies$  : Now assume that  $L^H/K$  is a Galois extension. Then the restriction map

$$\begin{aligned} \phi : \text{Gal}(L/K) &\rightarrow \text{Gal}(L^H/K) \\ \sigma &\mapsto \sigma|_{L^H} \end{aligned}$$

induces a group homomorphism.

Since  $L$  is a normal extension, any automorphism of  $L^H$  can be extended to an automorphism of  $L$ . This implies that the map is surjective. Now

$$\ker(\phi) = \{\sigma \in \text{Gal}(L/K) \mid \sigma|_{L^H} = id\}$$

Hence the kernel is comprised of all those automorphisms that, when restricted to  $L^H$  are just the identity automorphism. But this is exactly  $H$ .

Since  $H$  is the kernel of a group homomorphism on  $\text{Gal}(L/K)$ ,  $H$  must be a normal subgroup.

$\Leftarrow$  : Now assume that  $L^H$  is not Galois over  $K$ . Then there exists an automorphism of  $L$ , say  $\sigma$ , such that  $\sigma(L^H) \neq L^H$ . Indeed, if there did not exist such an automorphism, then Theorem 8.4 would imply that  $L^H$  is normal over  $K$  and hence Galois.

We claim that  $\sigma H \sigma^{-1} \neq H$ . To show this, we need to prove that  $L^{\sigma H \sigma^{-1}} = \sigma(L^H)$ .

Let  $Z = \sigma(L^H)$  and  $x \in Z$ . Then  $x = \sigma(y)$  for some  $y \in L^H$ . Now

$$\begin{aligned} (\sigma \phi \sigma^{-1})(x) &= \sigma \phi(y) \\ &= \sigma(y) \\ &= x \end{aligned}$$

for all  $\phi \in H$ . Hence  $x$  is also fixed by  $\sigma \phi \sigma^{-1}$  and therefore  $x \in L^{\sigma H \sigma^{-1}}$ . Thus we have that  $\sigma(L^H) \subseteq L^{\sigma H \sigma^{-1}}$ .

Now let  $x \in L^H$ . We have that  $x = \sigma^{-1}(y)$  for some  $y \in Z$ . Therefore

$$\begin{aligned} (\sigma^{-1} \phi' \sigma)(x) &= \sigma^{-1} \phi'(y) \\ &= \sigma^{-1}(y) \\ &= x \end{aligned}$$

for all  $\phi'$  in  $H'$ , the Galois group of  $Z$ . Therefore  $H \subseteq \sigma H' \sigma^{-1}$  and thus  $\sigma H \sigma^{-1} \subseteq H'$ . It hence follows that  $L^{\sigma H \sigma^{-1}} \subseteq L^{H'} = \sigma(L^H)$ . We can now see that  $\sigma(L^H) = L^{\sigma H \sigma^{-1}}$ .

Now assume that  $H$  is normal so that  $\sigma H \sigma^{-1} = H$ . By what we have just proved, this implies that  $\sigma(L^H) = L^H$ . But this is a contradiction and we hence see that  $H$  is not a normal subgroup. □

**Definition 10.5.** Let  $f(X) \in K[X]$ . We define the **Galois group** of  $f(X)$  over  $K$  to be

$$\text{Gal}(f/K) = \text{Gal}(K_f/K)$$

where  $K_f$  is a splitting field of  $f(X)$  over  $K$ .

**Definition 10.6.** Let  $r > 0$ . We denote the group of permutations on  $r$  elements by  $S_r$ . We say that a subgroup of  $S_r$  is **transitive** if it acts transitively on the set of  $r$  elements.

**Example 10.7.**  $\{(1), (1, 2)(3, 4), (1, 3)(2, 4), (1, 4), (2, 3)\}$  is a transitive subgroup of  $S_4$ .

**Proposition 10.8.** Let  $f(X) \in K[X]$  be a polynomial with  $r$  distinct roots. Then  $\text{Gal}(f/K)$  is isomorphic to a subgroup of  $S_r$  and hence the order of  $\text{Gal}(f/K)$  divides  $r!$ . Moreover, if  $f$  is irreducible over  $K$  then  $\text{Gal}(f/K)$  is a transitive subgroup of  $S_r$ .

*Proof.* Let  $L$  be a splitting field of  $f$  over  $K$  and  $l_1, \dots, l_r$  be roots of  $f$ . Then  $L = K(l_1, \dots, l_r)$ . A  $K$ -automorphism of  $L$  is determined by the images of the  $l_i$ 's. Such an automorphism must map a root of  $f$  to a root. Hence a  $K$ -automorphism of  $L$  permutes elements of the set  $l_1, \dots, l_r$ . Hence we get an injection of  $\text{Gal}(f/K)$  into  $S_r$ .

Now assume that  $f$  is irreducible over  $K$ . Then for any  $1 \leq i \leq r$ , there is a  $K$ -isomorphism

$$K(l_1) \rightarrow K(l_i)$$

By Proposition 10.2, this can be extended to an automorphism of  $L$  and hence to an element of  $\text{Gal}(f/K)$ . Therefore,  $\text{Gal}(f/K)$  is a transitive subgroup of  $S_r$ .  $\square$



# Chapter 11

## Cubic Polynomials

Let  $f(X) \in K[X]$  be a cubic polynomial. Then  $Gal(f/K)$  is a subgroup of  $S_3$ .  $S_3$  has 6 subgroups, namely

- $\{(1)\}$
- $\{(1), (1, 2)\}$
- $\{(1), (1, 3)\}$
- $\{(1), (2, 3)\}$
- $\{(1), (1, 2, 3), (1, 3, 2)\}$
- $\{(1), (1, 2), (1, 3), (2, 3), (1, 2, 3), (1, 3, 2)\}$

If  $f(X)$  splits completely over  $K$  then  $Gal(f/K) = \{(1)\}$ . If  $f(X)$  is reducible over  $K$  but does not split completely then  $Gal(f/K)$  is isomorphic to the cyclic group of order 2.

If  $Gal(f/K) \cong S_3$  then by the fundamental theorem of Galois Theory, there exists a field extension  $M$  such that  $K \subseteq M \subseteq L$  and  $Gal(M/K) \cong C_3$ . We have that  $M = K(\delta)$  where  $\delta \in L$ . Even permutations of  $S_3$  fix  $\delta$  and odd permutations send  $\delta$  to  $-\delta$ . If  $\alpha_1, \alpha_2, \alpha_3$  are the roots of  $f$  then

$$\delta = (\alpha_1 - \alpha_2)(\alpha_2 - \alpha_3)(\alpha_3 - \alpha_1)$$

If  $f$  is irreducible over  $K$  then  $Gal(f/K)$  is  $S_3$  if and only if  $\delta \notin K$ .

**Definition 11.1.** Let  $f(X) \in K[X]$  be a cubic polynomial and  $\alpha_1, \alpha_2, \alpha_3$  its roots in a splitting field over  $K$ . Then we define the **discriminant**  $D$  of  $f$  as

$$D = \delta^2 = (\alpha_1 - \alpha_2)^2(\alpha_2 - \alpha_3)^2(\alpha_3 - \alpha_1)^2$$

Suppose that  $\sqrt{D} \in K$ . Then any element of  $\text{Gal}(f/K)$  must fix  $\sqrt{D}$ . But a transposition of two roots does not fix  $\sqrt{D}$ .  $S_3$  contains exactly 3 such permutations (namely the cyclic groups of order 2). Therefore  $\text{Gal}(f/K) \cong S_3$  if and only if  $D$  is not a square in  $K$ . If  $f(X) = X^3 + aX + b$  then

$$D = -4a^3 - 27b^2$$

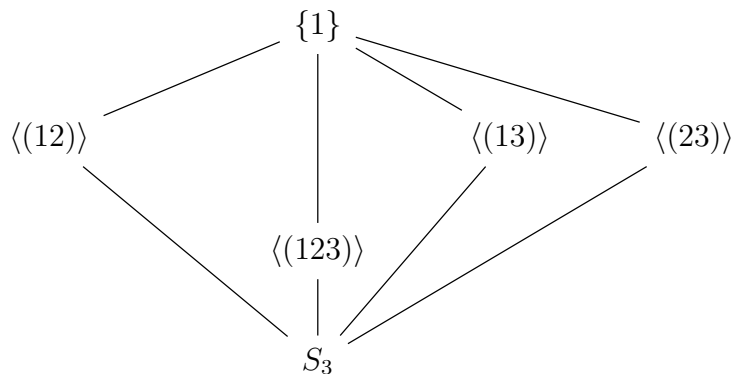
If  $f(X) = X^3 + a_2X^2 + a_1X + a_0$  and  $\text{char}(K) \neq 3$  then we can eliminate the quadratic term with the change of variable  $Y = X - \frac{a_2}{3}$ .

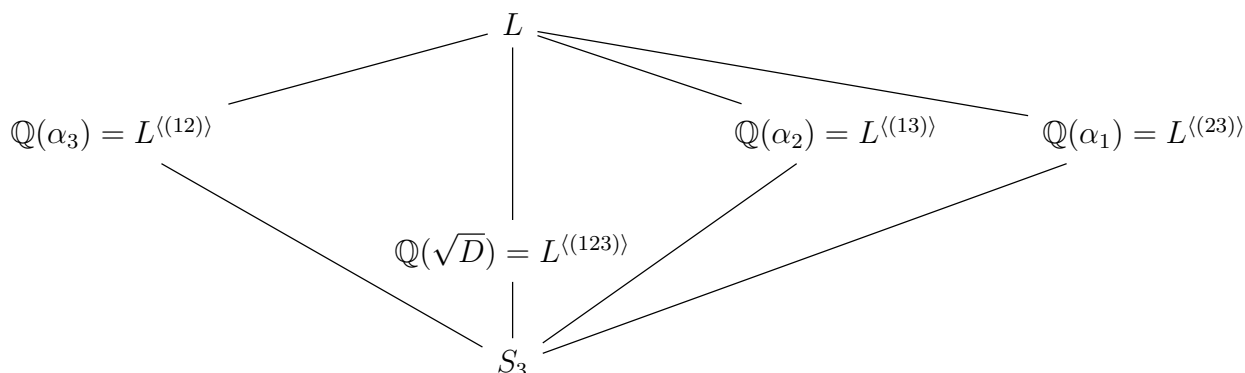
**Example 11.2.** Consider the polynomial  $f(X) = X^3 + 2 \in \mathbb{Q}[X]$ . By Eisenstein's Criterion, we have that the prime number 2 divides every coefficient except the leading one and  $2^2 = 4 \nmid a_0 = 2$  hence  $f(X)$  is irreducible over  $\mathbb{Q}$ . Its Galois group  $\text{Gal}(f/\mathbb{Q})$  is hence either  $S_3$  or  $C_3$ . The discriminant of  $f(X)$  is  $D = -27 \cdot 2^2$ . This is not a square in  $\mathbb{Q}$  and hence the Galois group is  $S_3$ .

We shall now describe all intermediate extensions of  $\mathbb{Q}$  and the splitting field of  $f$ .

Let  $L$  be a splitting field of  $f$  over  $\mathbb{Q}$ . Since  $\text{Gal}(L/\mathbb{Q}) = S_3$ , we have that there are 3 intermediate extensions of degree 3 and one of degree 2.

The intermediate field of degree 2 is fixed by  $C_3 \subseteq S_3$ . Since  $C_3$  is a normal subgroup of  $S_3$ , we have that the intermediate field  $L^{C_3}$  is Galois over  $\mathbb{Q}$ . The other extensions are not normal subgroups of  $S_3$  and hence none of their corresponding fixed fields are Galois over  $\mathbb{Q}$ . We obtain the following lattice diagrams





We can write  $\alpha_2$  and  $\alpha_3$  in terms of  $\sqrt{D}$  and  $\alpha_1$ . Note that

$$f(X) = (X - \alpha_1)g(X)$$

where

$$g(X) = X^2 + \alpha_1 X + \alpha_1^2 + a$$

We thus see that  $\alpha_2, \alpha_3 = \frac{-\alpha_1 \pm \sqrt{\text{disc}(g)}}{2}$ . It is easily shown that  $\text{disc}(g) = (\alpha_2 - \alpha_3)^2$ . Another calculation shows that  $D = \text{disc}(f) = g(\alpha_1)^2 \text{disc}(g)$ .

**Example 11.3.** Consider the polynomial  $f(X) = X^3 + X + 1$  over the rational numbers. The image of  $f(X)$  under the map

$$\begin{aligned} \sigma : \mathbb{Q}[X] &\rightarrow \mathbb{F}_2[X] \\ f(X) &\mapsto f(X) \pmod{2} \end{aligned}$$

has no roots in  $\mathbb{F}_2$  and is hence irreducible over this field. We therefore have that  $f(X)$  is irreducible over the  $\mathbb{Z}$  and, by Gauss' Lemma, irreducible over  $\mathbb{Q}$ .

The discriminant of  $f(X)$  is given by

$$D = -4 - 27 = -31$$

This is not a square in the rational numbers. Hence  $\text{Gal}(f/\mathbb{Q}) = S_3$ .

**Example 11.4.** Consider the polynomial  $f(X) = X^3 - X^2 - 2X + 1$  over the rational numbers. By argumentation similar to the previous example, we can see that  $f(X)$  is irreducible over  $\mathbb{F}_2$  and thus over  $\mathbb{Z}$ . By Gauss' Lemma,

$f(X)$  is irreducible over  $\mathbb{Q}$ .

By making the linear change of variable  $X = X + \frac{1}{3}$  to get the polynomial  $g(X) = X^3 - \frac{7}{3}X + \frac{7}{27}$ , we can see that the discriminant is

$$\begin{aligned} D &= -4 \cdot \left(\frac{-7}{3}\right)^3 - 27 \cdot \left(\frac{7}{27}\right)^2 \\ &= 4 \cdot \frac{7^3}{27} - \frac{7^2}{27} \\ &= 7^2 \left(\frac{28/27}{-} \frac{1}{27}\right) \\ &= 7^2 \end{aligned}$$

Hence  $D$  is a square in  $\mathbb{Q}$  and  $\text{Gal}(f/\mathbb{Q}) \cong A_3$ .

# Chapter 12

## Symmetric Polynomials

**Definition 12.1.** Let  $X_1, \dots, X_n$  be variables. We define the **elementary symmetric functions** in  $X_i$  to be

$$\begin{aligned} s_1 &= X_1 + X_2 + \dots + X_n \\ s_2 &= X_1X_2 + X_1X_3 + \dots + X_{n-1}X_n = \sum_{i < j} X_iX_j \\ s_3 &= \sum_{i < j < k} X_iX_jX_k \\ &\vdots \\ s_n &= X_1X_2 \dots X_n \end{aligned}$$

Obviously  $S_n$  acts on  $X_1, \dots, X_n$ . This action can be extended to an action on the polynomial ring  $R[X_1, \dots, X_n]$  for any ring  $R$ . Let  $f \in R[X_1, \dots, X_n]$  and  $\sigma \in S_n$ . Then

$$\sigma(f)(X_1, \dots, X_n) = f(X_{\sigma(1)}, \dots, X_{\sigma(n)})$$

**Example 12.2.** Let  $f(X_1, X_2, X_3) = X_1X_2 + X_2^2X_3^2$  and  $\sigma = (123) \in S_3$ . Then  $\sigma(f)(X_1, X_2, X_3) = X_2X_3 + X_3^2X_1^2$ .

**Definition 12.3.** We say that a polynomial  $f(X_1, \dots, X_n) \in R[X_1, \dots, X_n]$  is a **symmetric polynomial** if  $\sigma(f) = f$  for all  $\sigma \in S_n$ .

**Definition 12.4.** We say that a polynomial  $f(X_1, \dots, X_n) \in R[X_1, \dots, X_n]$  is a **partially symmetric polynomial** with respect to  $H$  if  $\sigma(f) = f$  for all  $\sigma \in H$  for some  $H \subseteq S_n$ .

**Example 12.5.**

$$f(X_1, \dots, X_n) = \prod_{1 \leq i < j \leq n} (X_i - X_j)$$

is partially symmetric with respect to the subgroup  $A_n \subseteq S_n$ .

**Example 12.6.**

$$f(X_1, X_2, X_3, X_4) = X_1X_3 + X_2X_4$$

is partially symmetric with respect to the subgroup  $D_4 \subseteq S_4$ .

**Theorem 12.7.** Any symmetric polynomial in  $X_1, \dots, X_n$  can be uniquely expressed in terms of elementary symmetric polynomials.

**Example 12.8.**  $X_1^2 + X_2^2 + X_3^2 = s_1^2 - 2s_2$

**Corollary 12.9.** The ring  $R[s_1, \dots, s_n]$  is isomorphic to the polynomial ring in  $n$  variables over  $R$ .

**Definition 12.10.** A rational function  $f \in K(X_1, \dots, X_n)$  is **symmetric** if  $\sigma(f) = f$  for all  $\sigma \in S_n$ .

**Corollary 12.11.** A symmetric rational function can be uniquely expressed as a rational function in  $s_1, \dots, s_n$ .

**Corollary 12.12.** Let  $K$  be a field,  $M = K(X_1, \dots, X_n)$  and  $L = K(s_1, \dots, s_n)$ . Then  $M/L$  is Galois with  $\text{Gal}(M/L) \cong S_n$ .

**Definition 12.13.** Let  $f \in K[X]$  be a polynomial of degree  $n$  with roots  $\alpha_1, \dots, \alpha_n$ . Then we define the **discriminant** of  $f$  by

$$D = \prod_{i < j} (\alpha_i - \alpha_j)^2$$

**Remark.** The polynomial  $\prod_{i < j} (X_i - X_j)^2$  is symmetric meaning  $D$  is fixed by all  $\sigma \in S_n$ . It is clear that  $D$  is non-zero if and only if  $f$  is a separable polynomial. We can also see that  $D \in K$ .

# Chapter 13

## Quartic equation

Let  $f(X) \in K[X]$  be a quartic polynomial. Then  $Gal(f/K)$  is a subgroup of  $S_4$ .  $S_4$  has 24 subgroups, namely

- Isomorphic to  $C_1$ :  $\{(1)\}$
- Isomorphic to  $C_2$ : six subgroups generated by the six transpositions and three subgroups generated by the products of two distinct transpositions
- Isomorphic to  $C_3$ : four subgroups generated by three cycles
- Isomorphic to  $V_4 := C_2 \times C_2$ : one transitive subgroup

$$V = \{(1), (12)(34), (13)(24), (14)(23)\}$$

and three non-transitive subgroups from products of  $C_2$ 's above.

- Isomorphic to  $C_4$ : three transitive subgroups generated by  $(1234)$ ,  $(1324)$ ,  $(1243)$
- Isomorphic to  $S_3$ : four non-transitive subgroups obtained as stabilisers of each element of the finite set.
- Isomorphic to  $D_4$ : three transitive subgroups generated by the three  $C_4$ 's above and one by the non-transitive  $V_4$ 's above.
- The alternating subgroup  $A_4$
- $S_4$

We shall only consider the cases where  $f$  is an irreducible quartic polynomial over  $K$  so that the Galois group is one of  $V, C_4, D_4, A_4, S_4$ .

**Proposition 13.1.** *Let  $f(X) = X^4 + bX^2 + c \in K[X]$  be an irreducible separable polynomial. Then  $\text{Gal}(f/K) = V$  if and only if  $c$  is a square in  $K$ .*

*Proof.* The roots of  $f(X)$  are given by  $\pm\sqrt{r \pm s\sqrt{t}}$  where  $b = -2r$  and  $c = r^2 - s^2t$ . Letting  $\alpha = \sqrt{r + s\sqrt{t}}$  and  $\alpha' = \sqrt{r - s\sqrt{t}}$  then the roots of  $f$  are  $\alpha, -\alpha, \alpha', -\alpha'$ . The splitting field for  $f$  over  $K$  is therefore  $L = K(\sqrt{t}, \alpha, \alpha')$ . Therefore  $|\text{Gal}(f/K)|$  divides 8. We hence have that  $\text{Gal}(f/K)$  is either  $C_4, D_4$  or  $V$ .

The discriminant of  $f$  is given by

$$D = \delta^2 = 2^4(b^2 - 4c)^2c = 2^8s^4t^2(r^2 - s^2t)$$

If  $c$  is a square in  $K$  then so is  $D$ . Hence  $\text{Gal}(f/K) \subseteq A_4$ . Since the order of the Galois group must divide 8, the only choice is that  $\text{Gal}(f/K) = V$ .  $\square$

**Remark.** *We also see from the above proof that  $\sqrt{r + s\sqrt{t}}$  can be written as  $\sqrt{a} + \sqrt{b}$  if and only if  $r^2 - s^2t$  is a square in  $K$ .*

**Remark.** *To check if a polynomial of the form  $f(X) = X^4 + aX^2 + b$  is irreducible over  $K$ , we first consider the quadratic polynomial  $g(Y) = Y^2 + aY + b$ . If the roots of  $f$  are  $\pm\alpha$  and  $\pm\alpha'$  then the roots of  $g$  are  $\alpha^2$  and  $\alpha'^2$ . If  $g(X)$  is reducible then  $\alpha^2$  and  $\alpha'^2$  lie in  $K$  and hence  $f(X)$  factorises into  $(X^2 - \alpha^2)(X^2 - \alpha'^2)$ .*

*Conversely, if  $g(X)$  is irreducible then we just have to check if  $f(X)$  factorises over  $K[X]$  into two quadratic polynomials. Writing*

$$f(X) = (X^2 + aX + b)(X^2 + cX + d)$$

*we can check if there exist solutions of  $a, b, c, d \in K$  with  $a$  and  $c$  non-zero. If such no such solution exists then  $f(X)$  is irreducible over  $K$ .*

**Example 13.2.** *Consider the polynomial  $f(X) = X^4 - 10X^2 + 1$  over  $\mathbb{Q}$ . By the remark above, we can show that  $f$  is irreducible over  $\mathbb{Q}$ . The quadratic polynomial  $Y^2 - 10Y + 1$  has no roots in  $\mathbb{Q}$ . Hence if  $f(X)$  is reducible, it should factorise as*

$$\begin{aligned} f(X) &= X^4 - 10X^2 + 1 = (X^2 + aX + b)(X^2 + cX + d) \\ &= X^4 + (a+c)X^3 + (b+d+ac)X^2 + (bc+ad)X + bd \end{aligned}$$



Hence,  $a = -c, b + d - a^2 = -10, a(d - b) = 0$  and  $bd = 1$ . We see that  $b = d = \pm 1$ . Therefore  $a^2 = \pm 2 + 10$  which has no rational solutions. Hence  $f(X)$  is irreducible over  $\mathbb{Q}$ .

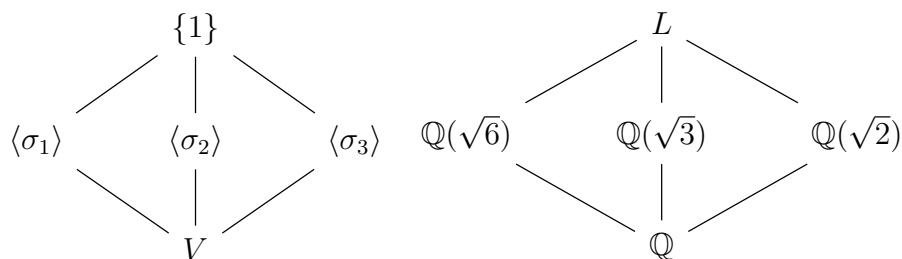
By the proposition, since  $c = 1$  is a square in  $\mathbb{Q}$ , we have that  $\text{Gal}(f/\mathbb{Q}) \cong V$ . By the fundamental theorem, there are three intermediate extensions of degree 2 over  $\mathbb{Q}$ . The roots of the polynomial are  $\pm\sqrt{5 \pm 2\sqrt{6}}$ . Let  $\alpha_1 = -\alpha_2 = \sqrt{5 + 2\sqrt{6}}$  and  $\alpha_3 = -\alpha_4 = \sqrt{5 - 2\sqrt{6}}$ .

The orbit of  $\alpha_1$  under the group generated by  $\sigma_1 := (12)(34)$  is  $\{\alpha_1, \alpha_2\}$ . Therefore the field fixed by  $\sigma_1$  contains  $\alpha_1 + \alpha_2 = 0$  and  $\alpha_1\alpha_2 = -(5 + 2\sqrt{6})$ . The fixed field is thus  $L^{\langle\sigma_1\rangle} = \mathbb{Q}(\sqrt{6})$ . Furthermore, the group generated by  $\sigma_1$  is a normal subgroup of  $V$ . Therefore  $\mathbb{Q}(\sqrt{6})$  is Galois over  $\mathbb{Q}$ .

The orbit of  $\alpha_1$  under the group generated by  $\sigma_2 := (13)(24)$  is  $\{\alpha_1, \alpha_3\}$ . Therefore the field fixed by  $\sigma_2$  contains  $\alpha_1 + \alpha_3$  and  $\alpha_1\alpha_3 = 1$ .  $(\alpha_1 + \alpha_3)^2 = 5 + 2\sqrt{6} + 5 - 2\sqrt{6} + 2\alpha_1\alpha_3 = 12$ . Hence  $\alpha_1 + \alpha_3 = \sqrt{12} = 2\sqrt{3}$ . The fixed field is thus  $L^{\langle\sigma_2\rangle} = \mathbb{Q}(\sqrt{3})$ . Furthermore, the group generated by  $\sigma_2$  is a normal subgroup of  $V$ . Therefore  $\mathbb{Q}(\sqrt{3})$  is Galois over  $\mathbb{Q}$ .

The orbit of  $\alpha_1$  under the group generated by  $\sigma_3 := (14)(23)$  is  $\{\alpha_1, \alpha_4\}$ . Therefore the field fixed by  $\sigma_3$  contains  $\alpha_1 + \alpha_4$  and  $\alpha_1\alpha_4 = -1$ .  $(\alpha_1 + \alpha_4)^2 = 5 + 2\sqrt{6} + 5 - 2\sqrt{6} + 2\alpha_1\alpha_4 = 8$ . Hence  $\alpha_1 + \alpha_4 = \sqrt{8} = 2\sqrt{2}$ . The fixed field is thus  $L^{\langle\sigma_3\rangle} = \mathbb{Q}(\sqrt{2})$ . Furthermore, the group generated by  $\sigma_3$  is a normal subgroup of  $V$ . Therefore  $\mathbb{Q}(\sqrt{2})$  is Galois over  $\mathbb{Q}$ .

The lattice diagrams of the subgroups of  $\text{Gal}(f/\mathbb{Q})$  and the intermediate fields of  $L$  and  $\mathbb{Q}$  are



From the above computations, we can obtain an explicit expression of the form  $\sqrt{a} + \sqrt{b}$  for the roots of  $f$ .  $\alpha_1 + \alpha_3 = 2\sqrt{3}$  and  $\alpha_1 + \alpha_4 = \alpha_1 - \alpha_3 = 2\sqrt{2}$ . Hence  $\alpha_1 = \sqrt{2} + \sqrt{3}$  and  $\alpha_3 = \sqrt{3} - \sqrt{2}$ .

**Example 13.3.** Consider the polynomial  $f(X) = X^4 - 4X^2 + 2$ . By Eisenstein's criterion with the prime number 2, we have that  $f(X)$  is irreducible over the rational numbers. The roots of this polynomial are  $\pm\sqrt{2 \pm \sqrt{2}}$ . Denote  $\alpha_1 = -\alpha_2 = \sqrt{2 + \sqrt{2}}$  and  $\alpha_3 = -\alpha_4 = \sqrt{2 - \sqrt{2}}$ . Since  $c = 2$  is not a square in  $\mathbb{Q}$ ,  $\text{Gal}(f/\mathbb{Q})$  is either  $C_4$  or  $D_4$ .

Consider the extension  $L = \mathbb{Q}(\alpha_1)$ . Trivially,  $\alpha_1, \alpha_2 \in L$ . We can see that  $\alpha_1\alpha_2 = \sqrt{2} \in L$  and  $\alpha_1 + \alpha_3 = \sqrt{2}\alpha$ . Hence all roots of  $f(X)$  are in  $L$ .  $L$  must therefore be a splitting field and hence is a normal extension of  $\mathbb{Q}$ . Thus  $|\text{Gal}(f/K)| = [L : K] = 4$ . We must therefore have that  $\text{Gal}(f/K) = C_4$  as  $D_4$  has order 8.  $C_4$  has two proper subgroups, namely the trivial subgroup and the cyclic group of order 2.

The orbit of  $\alpha_1$  under the permutation  $\sigma := (12) \subseteq C_2$  is  $\{\alpha_1, \alpha_2\}$ . Therefore the field fixed by  $\sigma$  contains  $\alpha_1 + \alpha_2$  and  $\alpha_1\alpha_2 = -\sqrt{2}$ .  $(\alpha_1 + \alpha_2)^2 = 2 + \sqrt{2} - 2(2 + \sqrt{2}) + 2 + \sqrt{2} = 0$ . Hence we see that  $L^{\langle \sigma \rangle} = \mathbb{Q}(\sqrt{2})$ . Furthermore,  $C_2 \triangleleft C_4$  hence  $L^{\langle \sigma \rangle}$  is Galois over  $\mathbb{Q}$ .

The lattice diagrams are

$$\begin{array}{ccc} \{1\} & & L \\ | & & | \\ C_2 & & \mathbb{Q}(\sqrt{2}) \\ | & & | \\ C_4 & & \mathbb{Q} \end{array}$$

**Example 13.4.** Consider the polynomial  $f(X) = X^4 - 6X^2 + 7$  over the rational numbers. The quadratic polynomial  $Y^2 - 6Y + 7$  has no rational roots. Hence if  $f(X)$  is reducible then it should factorise as

$$\begin{aligned} X^4 - 6X^2 + 7 &= (X^2 + aX + b)(X^2 + cX + d) \\ &= X^4 + (a+c)X^3 + (b+d+ac)X^2 + (bc+ad)X + bd \end{aligned}$$

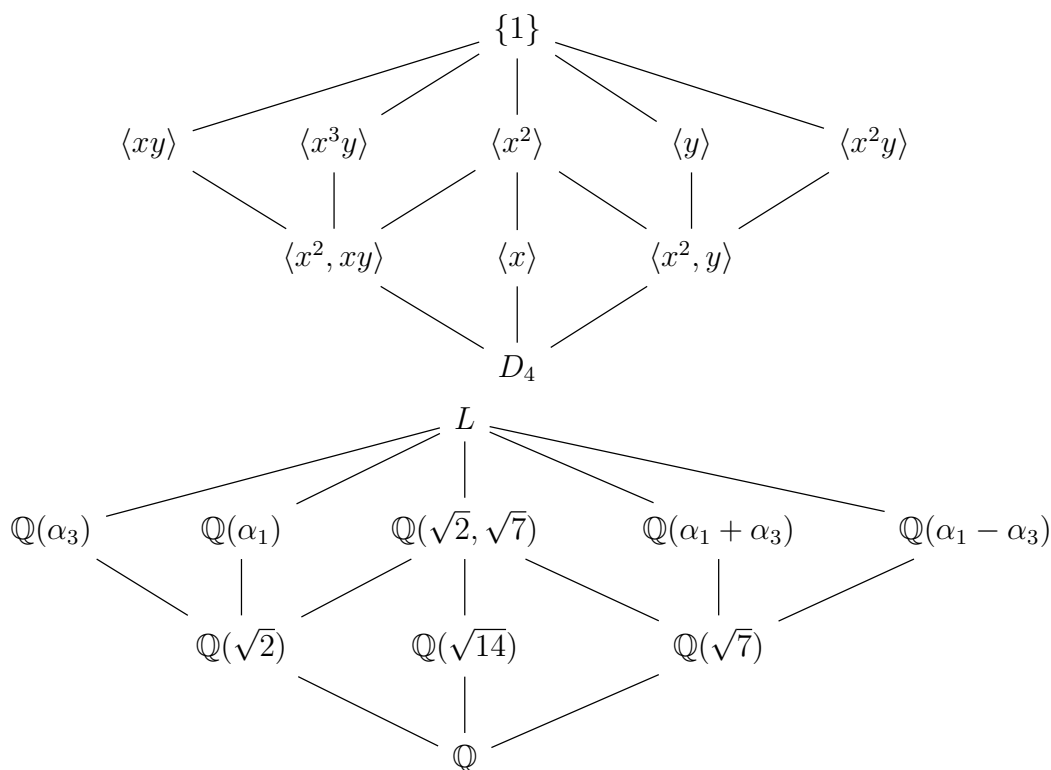
We have that  $b = d$  and thus  $b^2 = 7$ . This has no rational solutions hence  $f(X)$  is irreducible over  $\mathbb{Q}$ .

Now,  $c = 7$  is not a square in  $\mathbb{Q}$ . Therefore  $\text{Gal}(f/\mathbb{Q})$  is either  $C_4$  or  $D_4$ . The roots of  $f(X)$  are  $\pm\sqrt{3 \pm \sqrt{2}}$ . Denote  $\alpha_1 = -\alpha_2 = \sqrt{3 + \sqrt{2}}$  and  $\alpha_3 = -\alpha_4 = \sqrt{3 - \sqrt{2}}$ .  $\alpha_1\alpha_2 = \sqrt{7}$  and  $\alpha_1^2 - 3 = \sqrt{2}$ . Hence any

splitting field  $L$  of  $f(X)$  must have two quadratic intermediate fields  $\mathbb{Q}(\sqrt{2})$  and  $\mathbb{Q}(\sqrt{7})$ . This is only possible if  $\text{Gal}(f/K) = D_4$ . By the definition of  $D_4$ , we have that  $\text{Gal}(f/\mathbb{Q}) = \langle x = (1324), y = (13)(24) \rangle$ . The following table shows whether or not each subgroup of  $D_4$  fixes the roots and combinations of roots that are present in  $L$ . An element is designated fixed by  $\square$ .

|                       | $\langle x \rangle$ | $\langle x^2 \rangle$ | $\langle y \rangle$ | $\langle xy \rangle$ | $\langle x^2y \rangle$ | $\langle x^3y \rangle$ | $\{e\}$   | $D_4$    |
|-----------------------|---------------------|-----------------------|---------------------|----------------------|------------------------|------------------------|-----------|----------|
| $\alpha_1$            | $\times$            | $\times$              | $\times$            | $\times$             | $\times$               | $\square$              | $\square$ | $\times$ |
| $\alpha_3$            | $\times$            | $\times$              | $\times$            | $\square$            | $\times$               | $\times$               | $\square$ | $\times$ |
| $\sqrt{2}$            | $\times$            | $\square$             | $\times$            | $\square$            | $\times$               | $\square$              | $\square$ | $\times$ |
| $\sqrt{7}$            | $\times$            | $\square$             | $\times$            | $\times$             | $\square$              | $\times$               | $\square$ | $\times$ |
| $\sqrt{2}, \sqrt{7}$  | $\times$            | $\square$             | $\times$            | $\times$             | $\times$               | $\times$               | $\square$ | $\times$ |
| $\alpha_1 + \alpha_3$ | $\times$            | $\times$              | $\square$           | $\times$             | $\times$               | $\times$               | $\square$ | $\times$ |
| $\alpha_1 - \alpha_3$ | $\times$            | $\times$              | $\times$            | $\times$             | $\square$              | $\times$               | $\square$ | $\times$ |
| $\sqrt{14}$           | $\square$           | $\times$              | $\times$            | $\times$             | $\times$               | $\times$               | $\square$ | $\times$ |

We therefore obtain the following lattices



**Example 13.5.** Consider the polynomial  $f(X) = X^4 - 6x^2 + 6$  over the rational numbers. By Eisenstein's criterion with the prime number 3,  $f(X)$  is irreducible over  $\mathbb{Q}$ . Since  $c = 6$  is not a square in  $\mathbb{Q}$ , we have that  $\text{Gal}(f/\mathbb{Q})$  is either  $C_4$  or  $D_4$ . The roots of the polynomial are  $\pm\sqrt{3 \pm \sqrt{3}}$ . Denote  $\alpha_1 = -\alpha_2 = \sqrt{3 + \sqrt{3}}$  and  $\alpha_3 = -\alpha_4 = \sqrt{3 - \sqrt{3}}$ . Now  $\alpha_1\alpha_3 = \sqrt{6}$  and  $\alpha_1^2 - 3 = \sqrt{3}$ . Hence any splitting field  $L$  of  $f$  contains two quadratic intermediate extensions, namely  $\mathbb{Q}(\sqrt{6})$  and  $\mathbb{Q}(\sqrt{3})$  hence  $\text{Gal}(f/K) \cong D_4$ .

**Definition 13.6.** Let  $f(X)$  be a quartic polynomial with roots  $\alpha_1, \alpha_2, \alpha_3, \alpha_4$  and consider the partially symmetric functions

$$\begin{aligned}\beta_1 &= \alpha_1\alpha_3 + \alpha_2\alpha_4 \\ \beta_2 &= \alpha_1\alpha_2 + \alpha_3\alpha_4 \\ \beta_3 &= \alpha_1\alpha_4 + \alpha_2\alpha_3\end{aligned}$$

then the polynomial

$$g(X) = (X - \beta_1)(X - \beta_2)(X - \beta_3)$$

lies in  $K[X]$  and is called the **cubic resolvent** of  $f(X)$ .

If the cubic resolvent of a quartic polynomial is reducible in  $K[X]$  then  $\text{Gal}(f/K)$  is a subgroup of  $D_4$ . Hence we can apply the above analysis with the discriminant  $D$  to determine whether the Galois group is  $V, C_4$  or  $D_4$ . If  $g(X)$  is irreducible in  $K[X]$  then the Galois group is either  $A_4$  or  $S_4$ . We can then determine which one it is by checking if the discriminant is a square in  $K$ . If it is then the  $\text{Gal}(f/K) = A_4$ . If not then  $\text{Gal}(f/K) = S_4$ .

For a quartic polynomial of the form  $f(X) = X^4 + aX + b$ , the discriminant is  $D = -27a^4 + 256b^3$  and the cubic resolvent is  $g(X) = X^3 - 4bX - a^2$ .

**Example 13.7.** Let  $f(X) = X^4 + X + 1$  be a polynomial over the rationals.  $f(X)$  is irreducible modulo 2 hence  $f$  is irreducible over  $\mathbb{Z}$ . By Gauss' Lemma, it is hence irreducible over  $\mathbb{Q}$ . The discriminant of  $f$  is  $D = -27 + 256 = 229$  which is not a square in the rational numbers. The cubic resolvent of  $f$  is  $g(X) = X^3 - 4X - 1$ .  $g(X)$  is irreducible modulo 2 and is therefore irreducible over  $\mathbb{Z}$  by Gauss' Lemma. Hence  $\text{Gal}(f/\mathbb{Q}) = S_4$ .

**Example 13.8.** Consider the polynomial  $f(X) = X^4 + 8X + 12$  over the rational numbers. This function is always positive at integers and thus has

no roots in  $\mathbb{Z}$ . Therefore it has no roots in  $\mathbb{Q}$ . This rules out factorisations into 4 linear factors or one linear factor and one cubic factor. However, the polynomial could still have a factorisation of two quadratics.

If  $f(X)$  factorises into two irreducible quadratic factors over  $\mathbb{Z}$  then it should do so modulo  $p$  for any prime  $p$ . But

$$f(X) = (X - 4)(X^3 + 4X^4 + X + 2) \pmod{5}$$

and  $X^3 + 4X^2 + X + 2$  is irreducible modulo 5. Hence  $f(X)$  cannot factor into two irreducible quadratic polynomials over  $\mathbb{Z}$ . Therefore  $f$  is irreducible over  $\mathbb{Z}$  and by Gauss' lemma, over  $\mathbb{Q}$ .

The discriminant of  $f$  is  $-3^3 \cdot 2^{12} + 2^8 \cdot 2^6 \cdot 3^3 = 3^3 \cdot 2^{12}(4 - 1) = 2^{12} \cdot 3^4$ . This is a square in  $\mathbb{Q}$  hence the Galois group is either  $V$  or  $A_4$ . The cubic resolvent of  $f$  is  $g(X) = X^3 - 48X - 64$ . This is irreducible mod 5 and hence over  $\mathbb{Q}$ . Therefore  $\text{Gal}(f/K) = A_4$ .

# Chapter 14

## Finite Fields

**Lemma 14.1.** *Let  $F$  be a finite field of characteristic  $p$ . Then  $|F| = p^s$  for some  $s \in \mathbb{N}$ .*

*Proof.* The characteristic homomorphism from  $\mathbb{Z}$  to  $F$  has kernel  $(p)$  for some prime  $p$ . Therefore  $\mathbb{F}_p = \mathbb{Z}/p\mathbb{Z}$  is contained in  $F$ . We can then consider  $F$  as a finite dimensional vector space over  $\mathbb{F}_p$ . Therefore  $F$  has a basis  $b_1, \dots, b_s$  of  $s$  elements, say. Then any  $f \in F$  can be represented in the form  $f = a_1b_1 + \dots + a_sb_s$  for some  $a_i \in \mathbb{F}_p$ . Since each  $a_i$  can take  $p$  different values, we have that there must be  $p^s$  different elements in  $F$  for some  $s \geq 1$ .  $\square$

**Lemma 14.2.** *If a field of order  $p^s$  exists for some  $s \in \mathbb{N}$  then it is unique up to isomorphism.*

*Proof.* Let  $F$  be a finite field of order  $p^s$ . Then  $F^\times$  is a finite abelian group of order  $p^s - 1$ . Therefore  $\alpha^{p^s-1} = 1$  for all  $\alpha \in F^\times$ . Hence  $\alpha^{p^s} = \alpha$  for all  $\alpha \in F$ . Now let  $f(X) = X^{p^s} - X$ . Then  $f(\alpha) = 0$  for all  $\alpha \in F$ . Since  $F$  has characteristic  $p$ , we see that  $f'(X) = -1$  so  $f(X)$  is separable. Hence  $f$  has  $p^s$  different roots. We can thus see that  $F$  is a splitting field of  $f(X)$  over  $\mathbb{F}_p$ . Since any two splitting fields for a polynomial over the same base field are isomorphic, we have that any two fields of order  $p^s$  must be isomorphic.  $\square$

**Proposition 14.3.** *Let  $p$  be a prime and  $s \in \mathbb{N}$ . Then the field of order  $p^s$  exists.*

*Proof.* Consider the polynomial  $f(X) = X^{p^s} - X \in \mathbb{F}_p[X]$ . Let  $F$  be the splitting field of  $f(X)$  over  $\mathbb{F}_p$ . Then  $F$  is a finite field and  $|F| \geq p^s$ . Now let  $S$  be the set of roots of  $f(X)$  in  $F$ . We claim that  $S = F$ . It suffices

to show that  $S$  is a field. Since  $f(0) = f(1) = 0$ ,  $S$  contains 0 and 1. Now let  $\alpha, \beta \in S$ . It is easy to see that  $\alpha + \beta, \alpha\beta, \alpha, \alpha^{-1}$  are all in  $S$ . Hence  $S$  is a field.  $\square$

**Remark.** We denote the field of order  $p^s$  by  $\mathbb{F}_{p^s}$ . Note, however, that  $\mathbb{F}_{p^s}$  is never  $\mathbb{Z}/p^s\mathbb{Z}$ . Since  $\mathbb{F}_{p^s}$  is a separable splitting field over  $\mathbb{F}_p$ , it follows that  $\mathbb{F}_{p^s}$  is Galois over  $\mathbb{F}_p$ . Moreover, since  $[\mathbb{F}_{p^s} : \mathbb{F}_p] = s$ , we get that  $|\text{Gal}(\mathbb{F}_{p^s}/\mathbb{F}_p)| = s$ .

**Definition 14.4.** Let **Frob** be the automorphism of  $\mathbb{F}_{p^s}$  given by

$$\text{Frob}(x) = x^p$$

*Frob* is an  $\mathbb{F}_p$ -automorphism of  $\mathbb{F}_{p^s}$ . It is called the **Frobenius** automorphism.

**Proposition 14.5.**  $\mathbb{F}_{p^s}^\times$  is a cyclic group of order  $p^s - 1$ .

*Proof.* Let  $n = p^s - 1$ . For all  $0 < d|n$ , denote

$$\Omega_d := \{\alpha \in \mathbb{F}_{p^s}^\times \mid \text{order of } \alpha \text{ is } d\}$$

We claim that  $|\Omega_d| \leq \varphi(d)$ . If  $\Omega_d$  is empty then  $|\Omega_d| = 0$  and we are done. Hence assume that  $|\Omega_d|$  is non-empty and  $\alpha \in \Omega_d$ . The polynomial  $X^d - 1$  has at most  $d$  roots in  $\mathbb{F}_{p^s}$  and hence  $1, \alpha, \alpha^2, \dots, \alpha^{d-1}$  are all the roots of  $X^d - 1$  in  $\mathbb{F}_{p^s}$ . Furthermore,  $\alpha^i \in \Omega_d$  if and only if  $\gcd(i, d) = 1$ . Hence  $\Omega_d$  has  $\varphi(d)$  elements.

Now we observe that any element of  $\mathbb{F}_{p^s}^\times$  has order  $d$  for some  $0 < d|n$ . Therefore,

$$\mathbb{F}_{p^s}^\times = \bigcup_{0 < d|n} \Omega_d$$

and the union is disjoint. Therefore

$$n = |\mathbb{F}_{p^s}^\times| = \sum_{0 < d|n} |\Omega_d| \leq \sum_{0 < d|n} \varphi(d) = n$$

Hence we have an equality and each  $\Omega_d$  is in fact non-empty and has exactly  $\varphi(d)$  elements. Therefore  $\mathbb{F}_{p^s}^\times$  has an element of order  $n$  and is thus cyclic.  $\square$

**Corollary 14.6.** *The order of the Frobenius automorphism of  $\mathbb{F}_{p^s}$  is  $s$ . Therefore  $\text{Gal}(\mathbb{F}_{p^s}/\mathbb{F})$  is a cyclic group generated by Frob.*

*Proof.* Let  $m$  be the order of Frob of  $\mathbb{F}_{p^s}$ . Then  $\alpha^{p^m} = \alpha$  for all  $\alpha \in \mathbb{F}_{p^s}$ . This is equivalent to having  $\alpha^{p^m - 1} = 1$  for all  $\alpha \in \mathbb{F}_{p^s}^\times$ . The least such  $m$  is  $s$  by the previous proposition. Hence the order of the Frobenius automorphism of  $\mathbb{F}_{p^s}$  is  $s$ .  $\square$

**Theorem 14.7.** *The field  $\mathbb{F}_{p^s}$  injects in  $\mathbb{F}_{p^{s'}}$  if and only if  $s|s'$ .*

*Proof.*

$\implies$  : Assume that  $\mathbb{F}_{p^s}$  injects in  $\mathbb{F}_{p^{s'}}$ . Then the group  $\text{Gal}(\mathbb{F}_{p^s}/\mathbb{F})$  can be obtained through a quotient of the group  $\text{Gal}(\mathbb{F}_{p^{s'}}/\mathbb{F})$ . Hence  $s|s'$ .

$\impliedby$  : Conversely, if  $s|s'$  then  $(X^{p^s} - X)|(X^{p^{s'}} - X)$ . Therefore, a splitting field of  $X^{p^{s'}} - X$  over  $\mathbb{F}_p$  contains a splitting field of  $X^{p^s} - X$  over  $\mathbb{F}_p$ .  $\square$

**Theorem 14.8.** *Let  $p$  be a prime and  $f(X) \in \mathbb{F}_p[X]$  a irreducible polynomial of degree  $d$  over  $\mathbb{F}_p$ . Then  $\text{Gal}(f/\mathbb{F})$  is a cyclic group of order  $d$ . More generally, if  $f$  is not irreducible but breaks into  $r$  irreducible factors of degree  $d_1, d_2, \dots, d_r$  then  $\text{Gal}(f/\mathbb{F}_p)$  is a cyclic group of order  $\text{lcm}(d_1, d_2, \dots, d_r)$ .*

*Proof.* Let  $f(X) \in \mathbb{F}_p[X]$  be an irreducible polynomial of degree  $d$  and  $F = \mathbb{F}[X]/(f(X))$ . Then  $F$  is a field and the extension  $F/\mathbb{F}_p$  has degree  $d$ . Therefore  $F \cong \mathbb{F}_{p^d}$ . But we know that  $\mathbb{F}_{p^d}/\mathbb{F}$  is a Galois extension. It contains a root of  $f(X)$  and hence  $f(X)$  must split completely in  $\mathbb{F}_{p^d}$ . In particular,  $\mathbb{F}_{p^d}$  contains the splitting field of  $f$ . Since  $\deg(f) = d = [\mathbb{F}_{p^d} : \mathbb{F}_p]$ , we must have that  $\mathbb{F}_{p^d}$  is a splitting field of  $f$  over  $\mathbb{F}_p$ . Therefore  $\text{Gal}(f/\mathbb{F}_p)$  is a cyclic group of order  $d$ .  $\square$



# Chapter 15

## Inverse Limits, Profinite Groups and Topology

**Definition 15.1.** Let  $\mathcal{F}$  be a set with a binary relation  $\leq$  that is reflexive, antisymmetric and transitive. Then we say that  $\mathcal{F}$  is a **partially ordered set**.

**Definition 15.2.** Let  $\mathcal{F}$  be a partially ordered set and  $i, j \in \mathcal{F}$ . We say that  $\mathcal{F}$  is **directed** if there exists  $k \in \mathcal{F}$  such that  $i \leq k$  and  $j \leq k$ .

**Definition 15.3.** Let  $\mathcal{F}$  be a directed partially ordered set and for every  $i \in \mathcal{F}$  let  $G_i$  be a finite group. Consider a pair  $i, j \in \mathcal{F}$  such that  $i \leq j$  and  $\varphi_{i,j} : G_j \rightarrow G_i$  a mapping satisfying  $\varphi_{i,i} = id_{G_i}$  and if  $i \leq j \leq k$  then  $\varphi_{i,j} \circ \varphi_{j,k} = \varphi_{i,k}$ .

We define the **inverse limit**  $\varprojlim_{i \in \mathcal{F}} G_i$  to be the subset of  $\prod_{i \in \mathcal{F}} G_i$  containing all  $(x_i)_{i \in \mathcal{F}}$  such that  $\varphi_{i,j}(x_j) = x_i$  for all  $i \leq j$ . This is a subgroup of  $\prod_{i \in \mathcal{F}} G_i$ . A group of the form  $\varprojlim_{i \in \mathcal{F}} G_i$  is called a **profinite group**.

**Example 15.4.** Any finite group  $G$  is a profinite group. Indeed, we may take  $\mathcal{F}$  to be  $\{1\}$  and  $G_1 = G$ .

**Example 15.5.** The set of natural numbers with usual ordering is a directed partially ordered set. Let  $p$  be a prime number and for every  $n \in \mathbb{N}$ , denote  $G_n = \mathbb{Z}/p^n\mathbb{Z}$ . The maps from  $G_n \rightarrow G_m$  for any  $m \leq n$  is the natural projection. Then the inverse limit  $\varprojlim_n \mathbb{Z}/p^n\mathbb{Z}$  is called the group of **p-adic integers**.

**Example 15.6.** We may consider another ordering on  $\mathbb{N}$ . Let  $m \leq n$  if  $m$  divides  $n$ . Then, with this ordering,  $\mathbb{N}$  is a directed partially ordered set. For every  $n \in \mathbb{N}$ , denote  $G_n = \mathbb{Z}/n\mathbb{Z}$ . We again take the map from  $G_n \rightarrow G_m$  to be the natural projection for any  $m|n$ . The inverse limit  $\varprojlim_n \mathbb{Z}/n\mathbb{Z}$  is denoted by  $\hat{\mathbb{Z}}$ .

**Example 15.7.** We again consider  $\mathbb{N}$  with its usual ordering and let  $G_n = \mathbb{Z}/p^n\mathbb{Z}$ . This time, consider the map  $\varphi_n : G_n \rightarrow G_{n-1}$  to be multiplication by  $p$ . Then  $\varprojlim_n G_n = 0$ .

**Example 15.8.** Let  $K/F$  be a Galois extension (not necessarily finite) and  $G = \text{Gal}(K/F)$ . Consider the set

$$\mathcal{F} = \{L \mid L/F \text{ is a finite Galois extension contained in } K\}$$

We have the natural directed partial ordering on  $\mathcal{F}$  where  $L \leq L'$  if  $L \subseteq L'$ . For every  $L \in \mathcal{F}$ , we have the group  $G_L = \text{Gal}(L/F)$ . For  $L \subseteq L'$ , there is the obvious restriction map  $G_{L'} \rightarrow G_L$ . Then  $\mathcal{F}$  is non-empty and  $G \cong \varprojlim_L \text{Gal}(L/K)$ .

**Definition 15.9.** Let  $X$  be a set and  $\mathcal{P}(X)$  be the set of all subsets of  $X$ . Then a **topology** on  $X$  is a subset  $\mathcal{T}(X)$  of  $\mathcal{P}(X)$  such that

1.  $X$  and the empty set  $\emptyset$  are in  $\mathcal{T}(X)$
  2. Arbitrary unions of sets in  $\mathcal{T}(X)$  are in  $\mathcal{T}(X)$
  3. Finite intersections of sets in  $\mathcal{T}(X)$  are in  $\mathcal{T}(X)$
- A topological space is a pair  $(X, \mathcal{T}(X))$  where  $X$  is a set and  $\mathcal{T}(X)$  is a topology on  $X$ . The subsets of  $X$  contained in  $\mathcal{T}(X)$  are called **open** subsets of  $X$ . A subset of  $X$  is called **closed** if its complement in  $X$  is open.

**Definition 15.10.** A basis of a topological space  $X$  is a collection  $\mathcal{B}$  of open subsets of  $X$  such that every open subset can be written as the union of sets in  $\mathcal{B}$ .

**Definition 15.11.** Let  $G$  be a profinite group. Then the **Krull Topology** on  $G$  is the topology with basis given by cosets of finite order subgroups of  $G$ . Let  $K/F$  be a Galois extension. Then the **Krull Topology** on  $\text{Gal}(K/F)$  is the one with the basis given by all cosets of  $\text{Gal}(K/L)$  where  $L$  is a finite extension of  $F$ .

**Theorem 15.12.** *Let  $K/F$  be a Galois extension and  $G = \text{Gal}(K/F)$ . Let  $G$  be endowed with the Krull topology. Then there is a bijection between the closed subgroups  $H$  of  $G$  and the intermediate fields of  $K/F$  given by  $H \mapsto K^H$  and  $L \mapsto \text{Gal}(K/L)$ .*

*For any subgroup  $H$  of  $G$ , we have that  $\text{Gal}(K/K^H) = \overline{H}$ .*

*A field  $L$  such that  $F \subseteq L \subseteq K$  is a Galois extension of  $F$  if and only if  $\text{Gal}(K/L)$  is a normal subgroup of  $G$ . Moreover, the restriction map  $G \rightarrow \text{Gal}(L/F)$  induces a continuous isomorphism*

$$\text{Gal}(K/F)/\text{Gal}(K/L) \rightarrow \text{Gal}(L/F)$$

# Chapter 16

## Cyclotomic Extensions

**Definition 16.1.** We say that  $\zeta_n$  is an  $n^{\text{th}}$  **root of unity** if  $\zeta_n^n = 1$ . If  $\zeta_n = 1$  but  $\zeta_n^m \neq 1$  for all  $1 \leq m \leq n-1$ , we say that  $\zeta_n$  is the **primitive**  $n^{\text{th}}$  root of unity.

**Definition 16.2.** Let  $K$  be a subfield of  $\mathbb{C}$ . We say that the extension  $K(\zeta_n)$  is the  $n^{\text{th}}$  cyclotomic extension of  $K$ .

**Remark.** The  $n^{\text{th}}$  cyclotomic extension of  $K$  is the splitting field of  $X^n - 1$  over  $K$ . Hence  $K(\zeta_n)/K$  is Galois.

**Lemma 16.3.** Let  $n$  be a prime number. Then the minimal polynomial of  $\zeta_n$  over  $\mathbb{Q}$  is  $\Phi_n(X) := X^{n-1} + X^{n-2} + \cdots + 1$ .

*Proof.* We note that

$$\Phi_n(X) = X^{n-1} + X^{n-2} + \cdots + 1 = \frac{X^n - 1}{X - 1}$$

Hence  $\Phi_n(\zeta_n) = 0$ . □

**Lemma 16.4.** Let  $n \in \mathbb{N}$ . Then the minimal polynomial  $\Phi_n(X)$  of  $\zeta_n$  over  $\mathbb{Q}$  is

$$\Phi_n(X) = \frac{X^n - 1}{\prod_{0 < d < n, d|n} \Phi_d(X)}$$

*Proof.* Let  $f(X)$  be the minimal polynomial over  $\mathbb{Q}$ . We prove that if  $p$  is a prime number not dividing  $n$  then  $\zeta_n^p$  is a root of  $f(X)$ . Obviously,

$f(X)|(X^n - 1)$ . Let  $X^n - 1 = f(X)h(X)$ . By Gauss' lemma, both  $f$  and  $h$  have integer coefficients. Since  $X^n - 1$  is a separable polynomial,  $\zeta_n^p$  is either a root of  $f(X)$  or  $h(X)$  but not both. Assume that  $\zeta_n^p$  is a root of  $h(X)$ . Then  $f(X)|h(X^p)$ . Let  $h(X^p) = f(X)g(X)$  for some monic  $g(X) \in \mathbb{Z}[X]$ . Now  $a^p \equiv a \pmod{p}$  for all  $a \in \mathbb{Z}$  implies that

$$f(X)g(X) = h(X^p) \equiv h(X)^p \pmod{p}$$

Hence  $f(X)$  and  $g(X)$  have common factors modulo  $p$  and therefore  $X^n - 1$  has multiple roots modulo  $p$ . But as  $p$  does not divide  $n$  and  $0$  is not a root of  $X^n - 1$ , the polynomial  $X^n - 1$  cannot have multiple roots modulo  $p$ . Therefore  $\zeta_n^p$  must be a root of  $f(X)$ . Hence  $f(X)$  is also the minimal polynomial of  $\zeta_n^p$  over  $\mathbb{Q}$ . Therefore,  $\zeta_n^m$  is also a root of  $f(X)$  for any  $m$  coprime to  $n$ . Hence  $\deg(f) \geq \varphi(n)$ .

Now we denote the minimal polynomial of  $\zeta_n$  by  $\Phi_n(X)$ . Then we claim that

$$\prod_{0 < d|n} \Phi_d(X) = X^n - 1$$

Note that  $\Phi_d(X) \neq \Phi_{d'}(X)$  if  $d \neq d'$  as  $\Phi_d(X)|X^d - 1$  and  $\Phi_{d'}(X)$  does not divide  $X^d - 1$  if  $d' > d$ . Hence  $\Phi_d(X)$  are all pairwise coprime. Since  $\Phi_d(X)|X^n - 1$  for every  $d|n$ , we have that  $\prod_{0 < d|n} \Phi_d(X)|X^n - 1$ . Using the results from the previous claim, we have that  $\deg(\Phi_d) \geq \varphi(d)$  whence  $\deg(\prod_{0 < d|n} \Phi_d(X)) \geq \sum_{0 < d|n} \varphi(d) = n$ . Hence we see that  $\prod_{0 < d|n} \Phi_d(X) = X^n - 1$ .  $\square$

**Remark.** *Using the above lemma, we can recursively find the  $n^{\text{th}}$  **cyclo-tomic polynomial**.*

**Corollary 16.5.**  $[\mathbb{Q}(\zeta_n) : \mathbb{Q}] = \varphi(n)$ . *More generally,  $[K(\zeta_n) : K] \leq \varphi(n)$ .*

*Proof.* Since the degree of  $\Phi_n(X)$  is  $\varphi(n)$ , the assertion about  $\mathbb{Q}$  is clear. Now we observe that  $\Phi_n(X)$  is a monic polynomial with coefficients in  $\mathbb{Z}$ . We can therefore consider  $\Phi_n(X)$  over any field and  $\zeta_n$  is its root over such a field. Hence the minimal polynomial of  $\zeta_n$  over  $K$  divides  $\Phi_n(X)$  and thus  $[K(\zeta_n) : K] \leq \deg(\Phi_n(X)) = \varphi(n)$ .  $\square$

**Proposition 16.6.**  $\text{Gal}(\mathbb{Q}(\zeta_n)/\mathbb{Q}) \cong (\mathbb{Z}/n\mathbb{Z})^\times$ . *More generally,  $\text{Gal}(K(\zeta_n)/K)$  injects in  $(\mathbb{Z}/n\mathbb{Z})^\times$ .*

*Proof.* We first observe that

$$\Phi_n(X) = \prod_{0 \leq i \leq n, \gcd(n, i) = 1} (X - \zeta_n^i)$$

The elements of  $Gal(\mathbb{Q}(\zeta_n)/\mathbb{Q})$  are determined by the images of  $\zeta_n$ . Hence

$$Gal(\mathbb{Q}(\zeta_n)/\mathbb{Q}) = \{\sigma_i \mid 0 \leq i \leq n, \gcd(n, i) = 1\}$$

where  $\sigma_i(\zeta_n) = \zeta_n^i$ . It obviously follows that the map

$$\begin{aligned} Gal(\mathbb{Q}(\zeta_n)/\mathbb{Q}) &\rightarrow (\mathbb{Z}/n\mathbb{Z})^\times \\ \sigma_i &\mapsto i \end{aligned}$$

is an isomorphism.

For a general field  $K$ , the minimal polynomial of  $\zeta_n$  over  $K$  is a divisor of  $\Phi_n(X)$ . Hence only those  $\sigma_i$ 's lie on  $Gal(K(\zeta_n)/K)$  for which  $\zeta_n^i$  is a root of the minimal polynomial. Hence the above map forms an injection from  $Gal(K(\zeta_n)/K)$  in  $(\mathbb{Z}/n\mathbb{Z})^\times$ .  $\square$

# Chapter 17

## The equation $X^n - a$

Let  $K \subseteq \mathbb{C}$  be a subfield and  $a \in K$ . Consider the polynomial  $X^n - a \in K[X]$ . If  $\alpha$  is a root of  $X^n - a$  then all the roots are of the form  $\{\zeta_n^i \alpha \mid 0 \leq i \leq n\}$ . Hence the splitting field of  $X^n - a$  over  $K$  is  $K(\zeta_n, \alpha)$ . The extension  $K(\zeta_n, \alpha)/K$  is normal since it is the splitting field of a polynomial. It is separable as  $K$  as a subfield of  $\mathbb{C}$  has characteristic 0.

To find  $Gal(K(\zeta_n, \alpha)/K)$ , we first consider the subgroup  $Gal(K(\zeta_n, \alpha)/K(\zeta_n))$ .

**Proposition 17.1.**  *$Gal(K(\zeta_n, \alpha)/K(\zeta_n))$  is a cyclic group of order dividing  $n$ .*

*Proof.* The conjugates of  $\alpha$  over  $K(\zeta_n)$  is a subset of

$$\{\zeta_n^i \alpha \mid 0 \leq i \leq n\}$$

Now we define a map

$$\chi : Gal(K(\zeta_n, \alpha)/K(\zeta_n)) \rightarrow \mathbb{Z}/n\mathbb{Z} \quad \mapsto i$$

if  $\lambda(\alpha) = \zeta_n^i \alpha$ . Then this mapping is a homomorphism and, since the image of  $\alpha$  determines elements of the Galois Group, the map is injective. It is not necessarily surjective and is only so if  $X^n - a$  is irreducible over  $K(\zeta_n)$ . Since the subgroups of any cyclic group are again cyclic groups, it follows that  $Gal(K(\zeta_n, \alpha)/K(\zeta_n))$  is isomorphic to a cyclic group.  $\square$

**Corollary 17.2.**  *$Gal(K(\zeta_n, \alpha)/K)$  contains  $Gal(K(\zeta_n, \alpha)/K(\zeta_n))$  as a normal subgroup and the quotient is abelian.*

*Proof.* Using the fundamental theorem of Galois theory, since  $K(\zeta_n)/K$  is a Galois extension, the subgroup  $Gal(K(\zeta_n, \alpha)/K(\zeta_n))$  is a normal subgroup of  $Gal(K(\zeta_n, \alpha)/K)$  and the quotient is isomorphic to  $Gal(K(\zeta_n)/K)$  which is cyclic and hence abelian by the previous proposition.  $\square$

**Proposition 17.3.** *Let  $K$  be a field containing  $\zeta_n$  and  $L$  a Galois extension of  $K$  such that  $Gal(L/K)$  is a cyclic group of order  $n$ . Then there exists an element  $l \in L$  such that  $L = K(l)$  and  $l^n \in K$ .*

*Proof.* Let  $\sigma$  be the generator of  $Gal(L/K)$ . Then  $\sigma$  induces a  $K$ -linear transformation of the  $K$ -vector space  $L$ . Since  $\sigma$  is a finite order linear transformation, it is diagonalisable. Since  $\sigma^n$  is the identity, the eigenvalues of  $\sigma$  are the  $n^{\text{th}}$  roots of 1. Since  $\sigma^m$  is not the identity for all  $0 < m < n$  then there must be an eigenvalue which is a primitive  $n^{\text{th}}$  root of 1. Let  $l \in L$  be the corresponding eigenvector. We hence have that

$$\sigma(l) = \zeta l$$

where  $\zeta$  is the primitive  $n^{\text{th}}$  root of 1. Note that  $\sigma(\zeta) = \zeta$  as  $\zeta \in K$ . Hence  $\sigma^i(l) = \zeta^i l$ . Therefore  $l$  has  $n$  conjugates over  $K$ . Therefore  $[K(l) : K] = n$  and so  $K(l) = L$ . Furthermore,  $\sigma(l^n) = \sigma(l)^n = (\zeta l)^n = l^n$ . Hence  $l^n \in L^{\langle \sigma \rangle} = K$ .  $\square$



# Chapter 18

## Solvability

**Definition 18.1.** A group  $G$  is called **solvable** if there exists a finite chain of subgroups

$$\{e\} = G_0 \triangleleft G_1 \triangleleft \cdots \triangleleft G_{n-1} \triangleleft G_n = G$$

such that each  $G_{i-1}$  is normal in  $G_i$  and the quotient group  $G_i/G_{i-1}$  is cyclic for  $1 \leq i \leq n$ .

**Lemma 18.2.**

1. Let  $G$  be solvable and  $H \subseteq G$  a subgroup. Then  $H$  is solvable.
2. Let  $H \triangleleft G$  be a normal subgroup. Then  $G$  is solvable if and only if both  $H$  and  $G/H$  are solvable.
3. Any abelian group is solvable

*Proof.*

Part 1: Let  $G$  be a solvable group with a finite chain of subgroups

$$\{e\} = G_0 \triangleleft G_1 \triangleleft \cdots \triangleleft G_{n-1} \triangleleft G_n = G$$

such that  $G_{i-1}$  is normal in  $G_i$  and the quotient group  $G_i/G_{i-1}$  is cyclic for  $1 \leq i \leq n$ . Let  $H$  be a subgroup of  $G$  and define  $H_i = G_i \cap H$  for all  $0 \leq i \leq n$ . Hence we get the chain

$$\{e\} = H_0 \triangleleft H_1 \triangleleft \cdots \triangleleft H_{n-1} \triangleleft H_n = H$$

Each  $H_{i-1}$  is normal in  $H_i$ . Indeed, let  $h \in H_i$ , then

$$\begin{aligned} hH_{i-1} &= h(G_{i-1} \cap H) \\ &= (hG_{i-1}) \cap (hH) \end{aligned}$$

We have that  $h \in H_i \iff h \in G_i \cap H_i \implies h \in G_i$ . It is also clear that  $h \in H$ . Now since  $G_{i-1}$  is normal in  $G_i$  and  $H$  is trivially normal with respect to itself, we see that

$$\begin{aligned} hH_{i-1} &= h(G_{i-1} \cap H) \\ &= (hG_{i-1}) \cap (hH) \\ &= (G_{i-1}h) \cap (Hh) \\ &= (G_{i-1} \cap H)h \\ &= H_{i-1}h \end{aligned}$$

The quotient group  $H_i/H_{i-1}$  injects in  $G_i/G_{i-1}$  and must hence be cyclic. Therefore  $H$  is solvable. □

**Proposition 18.3.** *Let  $K$  be a field and  $n \in \mathbb{N}$ . If the  $\text{char}(K)$  is positive, we assume that  $n$  is coprime to  $\text{char}(K)$ . Let  $a \in K$ . Then the Galois group of  $X^n - a$  is solvable.*

*Proof.* By Corollary 17.2, we can see that  $\text{Gal}(K(\zeta_n, \alpha)/K)$  contains  $\text{Gal}(K(\zeta_n, \alpha)/K(\zeta_n))$  as a normal subgroup and the quotient is abelian. Hence by the previous lemma,  $\text{Gal}(K(\zeta_n, \alpha)/K)$  is solvable. □

**Definition 18.4.** *Let  $L/K$  be a field extension. We say that  $L/K$  is a **radical** extension if there exists an element  $l \in L$  such that  $L = K(l)$  and  $l^n \in K$  for some  $n \in \mathbb{N}$ .*

**Definition 18.5.** *Let  $L/K$  be a field extension. We say that  $L/K$  is **solvable by radicals** if there exists a chain of subfields*

$$K = L_0 \subseteq L_1 \subseteq \cdots \subseteq L_{n-1} \subseteq L_n \supseteq L$$

*such that  $L_n/K$  is Galois and each extension  $L_i/L_{i-1}$  is a radical extension for  $1 \leq i \leq n$ .*

**Definition 18.6.** Let  $\alpha$  be an algebraic element over  $K$ . Then we say that  $\alpha$  is **solvable by radicals** if  $K(\alpha)/K$  is solvable by radicals.

**Lemma 18.7.** Let  $f(X) \in K[X]$  be an irreducible polynomial and  $\alpha$  a root of  $f(X)$ . If  $\alpha$  is solvable by radicals then so is any other root of  $f(X)$ .

*Proof.* Let  $L = K(\alpha)$  and each  $L_i$  subfields fitting the definition of a solvable by radical extension. Then  $L_n/K$  is Galois and contains  $\alpha$ . Hence  $f(X)$  splits completely in  $L_n$ . Let  $\beta$  be another root of  $f(X)$ . Then  $K(\beta) \subseteq L_n$ . Therefore  $K(\beta)/K$  is solvable by radicals.  $\square$

**Definition 18.8.** We say that  $L/K$  is **solvable** if there exists a finite degree Galois extension  $M/K$  such that  $L \subseteq M$  and  $\text{Gal}(L/K)$  is a solvable group.

**Theorem 18.9.** Let  $L/K$  be a field extension. Then  $L/K$  is solvable if and only if  $L/K$  is solvable by radicals.